

PLACENTIA-YORBA LINDA UNIFIED SCHOOL DISTRICT
1301 E. Orangethorpe Avenue
Placentia, CA

Minutes
Regular Meeting
Board of Education

5:15 p.m., Tuesday, May 19, 2015
District Educational Center
1301 E. Orangethorpe Avenue
Placentia, CA 92870

A Regular Meeting of the Board of Education of the Placentia-Yorba Linda Unified School District was called to order by Mr. Eric Padget, President, at 5:15 p.m., Tuesday, May 19, 2015 at the District Educational Center, 1301 E. Orangethorpe Avenue, Placentia.

CLOSED SESSION

Adjourned to Closed Session for the purpose of discussing matters expressly authorized by Government Code Sections 3549.1, 54956.8, 54956.95, 54957, and 54957.6 at 5:17 p.m.

RETIREE RECOGNITIONS/RECEPTION (6:00 – 6:38 p.m.)

REGULAR SESSION

Reconvened to Regular Session at 7:00 p.m.

REPORT OUT OF CLOSED SESSION

The Board met and conferred in Closed Session and voted to approve the Settlement Agreement with United States Environmental Protection Agency (EPA) in the amount of \$2,747.

Action: Carried
Ayes: 5
Noes: 0

Motion: Mrs. Karin Freeman
Second: Mrs. Carrie Buck

PLEDGE OF ALLEGIANCE

ROLL CALL

Members Present: Mr. Eric Padget, President
Mrs. Judi Carmona, Vice President
Mrs. Karin Freeman, Clerk
Mrs. Carol Downey, Trustee
Mrs. Carrie Buck, Trustee
Isabella Zonni, Student Board Representative
Dr. Doug Domene, Board Secretary

APPROVAL OF AGENDA

Approved the May 19, 2015 Board of Education agenda as recommended by the Superintendent.

Action: Carried
Ayes: 5
Noes: 0

Motion: Mrs. Carol Downey
Second: Mrs. Karin Freeman

MINUTES

Approved the minutes of the Regular Meeting of May 5, 2015.

Action: Carried
Ayes: 5
Noes: 0

Motion: Mrs. Judi Carmona
Second: Mrs. Carrie Buck

RECOGNITIONS/PRESENTATIONS

You Are the Advantage Award – Alex Flavell, Valencia High School Student

PUBLIC COMMENT

Mrs. Linda Cone addressed the Board regarding Common Core.

STUDENT BOARD REPORT

Student Board Representative Isabella Zonni provided a brief report of the activities and events occurring at the district's high schools.

The Board recognized Isabella for her tenure on the Board of Education as the Student Board Representative.

SUPERINTENDENT'S REPORT

- Dr. Domene was excited to share that all four of our comprehensive high schools were recently recognized by the *US News and World Report* as "Silver Medal Schools." El Dorado, Esperanza, Valencia, and Yorba Linda High Schools were all ranked in the top 10% of the nation's high schools.
- In addition, all four of our comprehensive high schools were recognized by the State of California with the Gold Ribbon School award. Kraemer Middle School also received this prestigious honor.
- Last Friday, State Superintendent of Public Instruction, Tom Torlakson, visited El Dorado High School to celebrate the National Championship recognition earned by the school's cheerleading team. His visit also included a performance by the jazz band and a visit to the school's Digital Media Arts Academy. Dr. Domene shared that he presented a PYLUSD challenge coin to Superintendent Torlakson which represents our commitment to living out our core values.
- Dr. Domene was extremely pleased to share that Rio Vista Elementary School had recently been awarded a state preschool grant. It is hoped that additional funding will be available in the future to expand this program to Ruby Drive Elementary School.
- Next week, Travis Ranch School will be honored with a \$10,000 grant from the Honeywell Corporation for winning the KidSmartz Safety Video Contest.

SUPERINTENDENT'S REPORT, Continued

- The Superintendent thoroughly enjoyed Bryant Ranch Elementary School's recent 25th Anniversary Celebration and commended Principal Dominique Polchow for her leadership.
- Minerva Gandara shared briefly regarding the AVID Eighth-Grade Standout Awards Ceremony recently held at Angel Stadium. Representatives from the PYLUSD DELAC Committee and many of our AVID students attended. It is particularly noteworthy that, of the six \$10,000 scholarships awarded, two were presented to PYLUSD students. Additionally, all 46 AVID Eighth-Grade Standouts from across Orange County received laptops from the Angels Foundation. Our DELAC Committee was also honored at the ceremony for their excellence.
- In conclusion, Dr. Domene shared a short video of an interview featuring a 1936 graduate of Valencia High School. The alumnus, now 95 years old, recently visited his alma mater and was interviewed by Assistant Principal Dr. Nancy Watkins. The video of the interview is posted on the district's Facebook page.

CONSENT CALENDAR

1. Approved/ratified purchase orders in the following amounts: (2014/2015) – General Fund (01), \$2,665,038.03; Child Development Fund (12), \$1,763.31; Cafeteria Fund (13), \$32,087.47; Capital Facilities Fund (25), \$15,312.00; Schools Facilities Fund/Prop 47 Fund (39), \$6,614.26; Special Reserve-Capital Outlay Fund (40), \$250.00; Insurance Workers Comp Fund (68), \$695.55; Insurance Property Loss Fund (70), \$35.00
2. Approved warrant listings in the following amounts: Warrant Registers #202601 through 208313 and #551913; current year expenditures (April 19, 2015 through May 02, 2015) \$4,904,473.93; total prior year expenditures, \$1,853.75 (2013-2014); payroll register 10A, \$10,402,748.01
3. Renewed Bid No. 214-04, Instructional Supplies, to Southwest School and Office Supply for the purchase of instructional and office supplies for the 2015/2016 fiscal year.
4. Accepted as complete the project(s) listed and authorized filing Notice(s) of Completion. (See attached.)
5. Approved agreement with Vavrinek, Trine, Day & Co., LLP to provide fiscal services support effective July 1, 2015 through June 30, 2016.
6. Awarded bid to lowest responsive and responsible bidder and approved contract for Bid No. 215-03 to Painting & Décor, Inc. for the Travis Ranch School and George Key School Painting Project Bid. (See attached.)
7. Awarded bid to lowest responsive and responsible bidder and approved contract for Bid No. 215-05 to I&B Flooring, Inc. for the Travis Ranch School, George Key School, and the Professional Development Academy (PDA) Carpeting Project Bid. (See attached.)
8. Awarded bid to lowest responsive and responsible bidder and approved contract for Bid No. 215-06 to J.S. Easterday Construction, Inc. for the Rose Drive Elementary School New Shade Structure Project Bid. (See attached.)
9. Rejected all bids for Bid No. 215-04 for Kraemer Middle School and Tuffree Middle School Roofing project. (See attached.)

CONSENT CALENDAR, Continued

10. Approved Consultant Services Agreement with CF Environmental, Inc. to provide hazardous materials inspection services for the Auditorium Interior Modernization at Valencia High School. Contract period of May 20, 2015 through December 31, 2015. Contract No. 1415-19. Project No. VHS-9224-9314-000. (See attached.)
11. Approved extension of time to the attached list of contracts. (See attached.)
12. Approved Amendment No. 1 to renew the contract for Unit Bid No. 214-06, Electrical Services, with Seco Electric & Lighting, Inc. through May 20, 2016.
13. Approved renewal of the agreement with Myers-Stevens & Toohey & Co., Inc. for student accident insurance effective July 1, 2015 through June 30, 2016.
14. Approved renewal of agreement with Myers-Stevens & Toohey & Co. for Summer High School Sports Camp student accident and general liability insurance effective June 1, 2015 through September 8, 2015.
15. Approve renewal of agreement with American Fidelity Assurance Company for Flexible Spending Account services effective July 1, 2015 through June 30, 2016.
16. Approve renewal of agreement with Anthem (Blue Cross of California) for employee life insurance coverage effective July 1, 2015 through June 30, 2016.
17. Approved agreement with Athens Administrators effective July 1, 2015 through June 30, 2016.
18. Approved the renewal of agreement with H & S Business Services, LLC effective July 1, 2015 through June 30, 2016.
19. Approved renewal of the agreement with National Union Fire Insurance Company of Pittsburgh, PA effective July 1, 2015 through June 30, 2016.
20. Approved renewal of agreement with The Holman Group effective July 1, 2015 through June 30, 2016.
21. Approved renewal of the agreement with UNUM Life Insurance Company of America effective July 1, 2015 through June 30, 2016.
22. Approved the agreement with San Joaquin County Office of Education for data synchronization services for SEIS from July 1, 2015 through June 30, 2016.
23. Approved the Self-Maintainer Agreement with Hewlett Packard Development Company, L.P.
24. Approved agreement with Edlio for web hosting services for the four high schools and the district through June 30, 2016.
25. Approved the Memorandum of Understanding with Cal-PASS Plus.

CONSENT CALENDAR, Continued

26. Adopted Resolution No. 26 authorizing the submittal of application to the State's "AB 923 School Bus Replacement Program" and approved matching fund expenditures as required. (See attached.)
27. Approved Automated Teller Machine Site License and Service Agreement with SchoolsFirst Federal Credit Union for the Automated Teller Machine (ATM) service at the District Education Center (DEC) from June 15, 2015 through June 14, 2018.
28. Approved/ratified Independent Contractor Agreements – Educational Services – as listed in accordance with Board Policy No. 4124, Retention of Consultants. (See attached.)
29. Approved waivers requested by parents of students on the attached list who have met the CAHSEE requirement in the indicated area. (See attached.)
30. Approved agreements with Big Brothers Big Sisters of Orange County and Melrose, Rio Vista, Ruby Drive and Topaz Elementary Schools to continue the partnership for the 2015 – 2016 school year.
31. Appointed as the 2015 – 2016 CIF league representatives: Ken Fox, Century League (Gina Aguilar, alternate); Carey Cecil, Century League, (Amy Madrigal, alternate); Rick Lopez, Empire League, (Nancy Watkins, alternate), and Dave Flynn, Century League, (Jamie Jauch, alternate).
32. Approved agreement with Caldwell Physical Therapy from August 15, 2015 through June 15, 2016.
33. Approved/ratified the Interagency Agreement between the Northeast Orange County SELPA and the Regional Center of Orange County. The Agreement shall commence on April 24, 2015 and remain in effect until revised or amended.
34. Approved the agreement with Assistance League of Fullerton and Placentia-Yorba Linda Unified School District.
35. Approved school-sponsored field trips as listed in accordance with Board Policy No. 6153, School-Sponsored Trips. (See attached.)
36. Accepted gifts as listed, such action being in compliance with Education Code Section 41032, and directed the Superintendent to send letters of appreciation. (See attached.)
37. Approved the Stipulated Expulsion Agreement between the Placentia-Yorba Linda Unified School District and the parents of 1510C.
38. Approved district membership in the California School Boards Association and the California School Boards Association Education Legal Alliance for the 2015-2016 school year.
39. Approved the Affiliation Agreement with Azusa Pacific University from September 1, 2014 to August 31, 2019.
40. Approved Classified Personnel Report. (See attached.)

CONSENT CALENDAR, Continued

41. Approved Certificated Personnel Report. (See attached.)

Approved the above listed recommendations.

Action: Carried
Ayes: 5
Noes: 0

Motion: Mrs. Carol Downey
Second: Mrs. Judi Carmona

GENERAL FUNCTIONS

1. Adopted revised Board Policy 4112.41/4212.41, *Tuberculosis Examination*, and changed the title to read *Tuberculosis Screening*. (See attached.)

Action: Carried
Ayes: 5
Noes: 0

Motion: Mrs. Judi Carmona
Second: Mrs. Karin Freeman

2. Adopted revised Board Policy 5131.11, *Student Use of Electronic Signaling Devices*, and changed the title to read *Student Use of Electronic Devices*. (See attached.)

Action: Carried
Ayes: 5
Noes: 0

Motion: Mrs. Carrie Buck
Second: Mrs. Carol Downey

3. Adopted revised Board Policy 5141.6, *Child Abuse Reporting*. (See attached.)

Action: Carried
Ayes: 5
Noes: 0

Motion: Mrs. Carol Downey
Second: Mrs. Karin Freeman

FACILITIES AND PLANNING

Adopted Resolution No. 24 implementing prequalification of construction contractors under Public Contract Code Section 20111.6. (See attached.)

Action: Carried
Ayes: 5
Noes: 0

Motion: Mrs. Karin Freeman
Second: Mrs. Judi Carmona

CURRICULUM AND INSTRUCTION

Approved adoption of Mathematics Pre-Calculus textbooks.

Action: Carried
Ayes: 5
Noes: 0

Motion: Mrs. Carol Downey
Second: Mrs. Judi Carmona

BUSINESS AND FINANCIAL

- 1. Approved Agreement with Orrick, Herrington & Sutcliffe LLP for disclosure counsel services in connection with the General Obligation Refunding Bond Proceedings.

Action: Carried
Ayes: 5
Noes: 0

Motion: Mrs. Judi Carmona
Second: Mrs. Carrie Buck

- 2. Approved Agreement with Norton Rose Fulbright US LLP for bond counsel in connection with the General Obligation Refunding Bond Proceedings.

Action: Carried
Ayes: 5
Noes: 0

Motion: Mrs. Carrie Buck
Second: Mrs. Carol Downey

- 3. Adopted Resolution No. 25 providing for the issuance and sale of 2015 general obligation refunding bonds of the district in the aggregate amount of not-to-exceed \$75,000,000 to refund the district's 2008 Election General Obligation Bonds, Series A, and approving related documents and actions. (See attached.)

Action: Carried
Ayes: 5
Noes: 0

Motion: Mrs. Karin Freeman
Second: Mrs. Judi Carmona

PERSONNEL

Approved the employment contract for David Giordano as Assistant Superintendent, Business Services, effective July 1, 2015.

Action: Carried
Ayes: 5
Noes: 0

Motion: Mrs. Karin Freeman
Second: Mrs. Carrie Buck

COMMUNICATIONS

None

BOARD REPORT

1. Mrs. Carrie Buck shared briefly regarding the Women's Club Scholarship Awards night which was phenomenal. There was amazing artwork on display as well as a student performance. Thousands of dollars in scholarships were awarded for outstanding academics and the arts as well as an outstanding teacher recognition. Mrs. Buck attended the recent OCSBA PAGE meeting at which Dr. Michael Worley, Superintendent of the North Orange County Regional Occupational Program, spoke regarding the benefits provided through ROPs.
2. Mrs. Carol Downey was pleased to have participated in the tour of El Dorado High School provided to State Superintendent of Public Instruction Tom Torlakson. It was a very nice afternoon. She also attended the Yorba Linda High School Senior Awards event and shared that the students had garnered approximately \$3.4 million in scholarships – amazing! Mrs. Downey feels as if she is on the speaker circuit, having shared most recently at Rose Drive Elementary School regarding the history of Placentia and her experience as the City's first female mayor with the school's third-grade classes. She thoroughly enjoys being in the classroom with the students.
3. Mrs. Karin Freeman also attended the OCSBA PAGE meeting at which Dr. Michael Worley spoke. It was a great opportunity for those in attendance to learn about Regional Occupational Programs. Mrs. Freeman attended a recent ACSA awards event which, among others, honored Dr. Michael Worley. It was a very nice evening. In addition, she was pleased to attend the 25th Anniversary Celebration for Bryant Ranch Elementary School and also stayed for the subsequent Open House event, both of which were very enjoyable. Mrs. Freeman shared briefly regarding OCSBA Delegate Assembly which she had attended this last weekend and will be providing a summary of the sessions she attended in the near future. In conclusion, Mrs. Freeman indicated she had attended Yorba Linda High School's Senior Awards event and shared briefly.
4. Mrs. Judi Carmona indicated she had attended Parkview School's presentation of *Oklahoma* at the district's Performing Arts Center which was absolutely outstanding. She also attended Bryant Ranch Elementary School's 25th Anniversary Celebration and enjoyed the opportunity to visit with State Superintendent of Schools Tom Torlakson when he toured El Dorado High School. The event at Angel Stadium this week honoring outstanding AVID students was phenomenal. PYLUSD students from Kraemer Middle School, Valadez Middle School Academy, and Yorba Linda Middle School were honored and PYLUSD Eighth-Grade AVID Standouts garnered two of the six \$10,000 scholarships presented—the highest honor presented at the event. Mrs. Carmona shared that she had attended the fifth- and sixth-grade rehearsal for a district music festival. The musical skills these students accomplish over the course of a year is remarkable. In conclusion, Mrs. Carmona indicated she looks forward to participating in the Classified Employee Week celebration on Thursday and remarked on the valuable contributions of our classified employees.
5. Mr. Eric Padget shared that APLE President Linda Manion had been recognized at the CTA "WHO" (We Honor Ours) Dinner. One teacher is recognized from each county, and Mrs. Manion is the state "WHO" winner from Orange County. He added that it is an exceptional honor to be so recognized by your colleagues and commended Mrs. Manion on her leadership. Last Friday, Mr. Padget was pleased to have worked at the Yorba Linda Sunrise Rotary's Lobsterfest at which \$75,000 was raised for Rotarian projects. He was particularly pleased to have the opportunity to serve the table at which 48 Marines from Camp Pendleton were seated. It was great fun to talk with them and a pleasure to serve them. Mr. Padget looks forward to upcoming Distinguished Scholar, Senior Awards, and graduation events.

ADJOURNMENT

Time: 7:59 p.m.

Mr. Eric Padget, President, adjourned the May 19, 2015 meeting of the Board of Education at 7:59 p.m..

Action: Carried
Ayes: 5
Noes: 0

Motion: Mrs. Karin Freeman
Second: Mrs. Judi Carmona

NEXT SCHEDULED MEETING

June 16, 2015 (Special Board Meeting)
June 23, 2015 (Regular Board Meeting)

NOTICES OF COMPLETION

P.O. Number

Contractor

Project

505189

Time & Alarm Systems

Various Sites
Bid 211-02 Fire Alarm and Low Voltage
Unit Price Bid
Testing and inspection

EXTENSION OF TIME – VARIOUS CONTRACT SERVICES

Vendor Name	Amendment No.	Contract No.	Project Number	Purchase Order	New Contract End Date
Telacu Construction Management	6	0910-48	ELD-9722-9301-081	008042	12/31/2015
Higginson Cartozian Architects, Inc.	3	1011-64	ROD-9713-9303-000	107574	12/31/2015
Knowland Construction Services, Inc.	3	1213-30	TRM-9232-9301-030	307467	12/31/2015
Knowland Construction Services, Inc.	3	1314-02	YLH-9228-9301	400775	12/31/2015
Reliant Testing Engineers	3	1314-05	YLH-9228-9301	400773	12/31/2015
WLC Architects	4	1112-48	VHS-9224-9303-030	300682	12/31/2015

RESOLUTION NO. 26

Authorization to Apply For and Secure Grant Funding from the
South Coast Air Quality Management District PA #2015-06 AB 923 School Bus Replacement Program

Whereas, the Board of Trustees of the Placentia-Yorba Linda Unified School District of Orange County, State of California, has determined the District's Transportation needs; and

Whereas, the District is in need of replacing seven School Buses under PA #2015-06 released by the SCAQMD at the March 6, 2015 SCAQMD Board Meeting, and said program to be administered by the SCAQMD when awards are announced at the SCAQMD Board meeting on October 2, 2015.

Whereas, this grant is for replacement of pre-1994 model year school buses with a mandatory School District match portion. The grant application process for these SCAQMD AB 923 funds requires that the school board commit in advance through this resolution to providing the match funds for all of the buses awarded, in addition to committing to pay for any additional options above the grant amount, such as required special needs equipment, that might also be on the bus granted and ordered from the bus vendor. The Board of Trustees by this resolution is also showing that they are fully committed to obtaining these grants and following through with all of the requirements of this grant program.

Whereas, the school match requirements and the cost of the additional options required for seven buses, is up to \$15,000 per bus, for a total of up to \$105,000 to be paid by Placentia-Yorba Linda Unified School District.

Now, Therefore, Be It Resolved that the Board of Trustees of the Placentia-Yorba Linda Unified School District does hereby authorize the School District's Representative, Doug Domene, to Apply for and Secure all possible funding for the replacement of seven School Buses with a commitment by this Board through this resolution to pay all school match money required, and additional option costs, on any buses granted through the SCAQMD AB 923 program, PA #2015-06.

Passed and adopted this 19th Day of May, 2015, by the following called Vote:

Ayes: Eric Padget, Judi Carmona, Karin Freeman, Carol Downey, Carrie Buck

Nayes: None

Absent: None

Signed By: Doug Domene
Secretary to the Board of Education

INDEPENDENT CONTRACTOR AGREEMENTS – EDUCATIONAL SERVICES

1. Meet the Masters
Presenter of student art assemblies for Linda Vista Elementary, September 2, 2015 – June 11, 2016; budgeted gift funds, NTE \$3,677.
2. S.E. Van Vorhis Key, Ph.D.
Metamorphosis Enterprises
Presenter of science program assembly at Wagner Elementary, June 5, 2015; budgeted gift funds, NTE \$545.
3. Stepping Stones for Social Success
Provider of compensatory speech and language, and social skills intervention services for special education students, April 16 – June 30, 2015; budgeted special education funds, NTE \$5,000.
4. The SPARK Program
Presenter of physical education training program for elementary kindergarten teachers, May 28, 2015; budgeted categorical funds, NTE \$3,499.
5. Allred Family Eye Care
Provider of individualized evaluation program consultations and assessments as requested by parents of special education students with vision impairment, May 1 – June 12, 2015; budgeted special education funds, NTE \$1,300.
6. Ed Tech Team
Provider of a two-day professional development workshop for teachers on the use of technology for those with beginner technology skills, August 1 – August 30, 2015; budgeted general funds, NTE \$44,910.
7. Franklin Haynes Marionettes
Presenter of marionette program assemblies at Wagner and Linda Vista Pre-school centers, August 27 – October 29, 2015; budgeted child care funds, NTE \$1,050.
8. California Weekly Explorer
Presenter of history program assemblies for Morse Elementary, November 19, 2015 – May 31, 2016; budgeted gift funds, NTE \$1,960.

CAHSEE WAIVERS

<u>School</u>	<u>Student ID #</u>	<u>Area of CAHSEE to be Waived</u>	<u>Score Achieved w/Modifications</u>	<u>Teacher</u>	<u>Date of CAHSEE</u>
Esperanza HS	25407	Math/ELA	380/362	G. Gresch	3/13/2013
Esperanza HS	32424	Math	362	G. Gresch	11/13/2013
Esperanza HS	264953	Math	381	G. Gresch	5/14/2014
Esperanza HS	250202	Math	355	G. Gresch	11/13/2013
Esperanza HS	259232	Math	353	M. Castro	3/17/2015
El Dorado HS	50289	Math	359	D. Armstrong	11/12/2014
Valencia HS	21712	ELA	376	C. Douglas	11/12/2014
Valencia HS	20856	ELA	365	E. Nasouf	2/1/2015
Valencia HS	255279	Math	381	C. Douglas	2/1/2015
Valencia HS	701228	ELA	350	C. Douglas	2/1/2015
Valencia HS	272047	Math	368	E. Nasouf	11/1/2014
Valencia HS	25635	Math	353	T. LaTorre	2/1/2014

SCHOOL-SPONSORED FIELD TRIPS

1. Valencia High School California State Track and Field Championships, June 5 – 6, 2015 in Clovis, California.
2. Valencia High School National Track and Field Championships, June 19 – 21, 2015 in Greensboro, North Carolina.
3. Yorba Linda High School Las Vegas Summer Wrestling Duals, June 23 – 26, 2015 in Las Vegas, Nevada.
4. El Dorado High School Point Loma Men's Basketball Tournament, June 26 – 27, 2015 in San Diego, California.
5. Valencia High School Future Business Leaders of America National Leadership Conference, June 29 – July 3, 2015 in Chicago, Illinois.
6. El Dorado High School Westmont College Men's Basketball Tournament, July 10 – 12, 2015 in Montecito, California.
7. El Dorado High School Jam On It Hoops Men's Basketball Tournament, July 15 – 18, 2015 in Las Vegas, Nevada.
8. Yorba Linda High School La Quinta Summer Wrestling Duals, July 16 – 18, 2015 in La Quinta, California.
9. Yorba Linda High School Twenty-ninth Annual San Diego Classic Women's Basketball Tournament, July 16 – 19, 2015 in San Diego, California.
10. El Dorado High School Annual Best Buddies International Leadership Conference, July 17 – 20, 2015 in Bloomington, Indiana.
11. Valencia High School Annual Best Buddies International Leadership Conference, July 17 – 20, 2015 in Bloomington, Indiana.
12. Esperanza High School Parent Teacher Student Association Leadership Camp, July 29 – August 1, 2015 in Orange, California.
13. Esperanza High School Associated Student Body Camp, August 8 – 9, 2015 in Angelus Oaks, California.
14. Yorba Linda High School Big Bear Mountain Men's and Women's Cross-Country Camp, August 17 – 21, 2015 in Big Bear Lake, California.
15. El Dorado High School Orange County Leadership Camp, August 11 – 14, 2015 in Santa Barbara, California.

16. Valencia High School Orange County Leadership Camp, August 11 – 14, 2015 in Santa Barbara, California.
17. Esperanza High School California Association of Directors of Activities Leadership Camp, August 12 – 14, 2015 in Santa Barbara, California.
18. El Dorado High School Football Overnight Lock-in, August 13 – 15, 2015 in Placentia, California.
19. Yorba Linda High School Clovis Men's and Women's Cross-Country Invitational, October 9 – 10, 2015 in Fresno, California.
20. Yorba Linda High School California State Men's and Women's CIF Cross-Country Championships, November 27 – 28, 2015 in Fresno, California.

GIFTS

1. Checks totaling the amount of \$2,920.25 from EG LLC to be used for materials and supplies for the Adult Transition program.
2. A used Jupiter saxophone from Mrs. Cheryl Rootring to be used at Bernardo Yorba Middle School music program.
3. Check in the amount of \$500 from Robert and Kathy McKinnell to be used in the science department at El Dorado High School.
4. Check in the amount of \$50 from Esperanza High School JROTC to be used for instructional materials at Esperanza High School.
5. Check in the amount of \$105 from R.E.A.C.H. Foundation to be used for materials and supplies at Esperanza High School.
6. Check in the amount of \$115 from R.E.A.C.H. Foundation to be used for materials and supplies at Fairmont Elementary.
7. Checks totaling the amount of \$1,496 from Fairmont PTA to be used for field trip expenses for Fairmont Elementary.
8. Checks totaling the amount of \$1,065.54 from United Way, Inc. to be used for instructional supplies at George Key School.
9. Seventy-five helium balloons from Stater Brothers to be used at the annual Jog-a-Thon/Carnival event at George Key School.
10. Check in the amount of \$175 from R.E.A.C.H. Foundation to be used for materials and supplies at Glenview Elementary.
11. Check in the amount of \$3,762.62 from Glenknoll PTA to be used for instructional supplies at Glenknoll Elementary.
12. Checks totaling the amount of \$2,250.65 from Golden PTA to be used for instructional and emergency supplies at Golden Elementary.
13. Checks totaling the amount of \$11,503.06 from Linda Vista PTA to be used for assembly expenses and lamination equipment maintenance at Linda Vista Elementary.
14. Check in the amount of \$70 from R.E.A.C.H. Foundation to be used for materials and supplies at Mabel Paine Elementary.
15. Check in the amount of \$400 from Raytheon – Matching Gifts for Education Program to be used for materials and supplies at Mabel Paine Elementary.
16. Check in the amount of \$1,140 from Travis Ranch PTA to be used for transportation expenses for Travis Ranch school field trip.
17. Various Nikon camera equipment, camera lenses and accessories from Orv and Kathy Harpole to be used in the Yorba Linda High School photography classes.

CLASSIFIED PERSONNEL REPORT

<u>Retirement</u>	<u>Position</u>	<u>Site</u>	<u>Effective</u>
Constance Freeman	Executive Assistant	Superintendent's Office	08/07/15

<u>Resignation</u>	<u>Position</u>	<u>Site</u>	<u>Effective</u>
Wendy Reynolds	SPED Aide II	YLMS	05/15/15
Nancy Slagle-Cessna	Health Clerk	YLHS	06/12/15

<u>Employ</u>	<u>Position</u>	<u>Site</u>	<u>Effective</u>
Edith Armenta	SPED Aide II	Brookhaven	05/04/15
Sandra D. Chavez	Instructional Aide	Elementary Music	04/14/15
Diane Fowks	SPED Aide I	El Dorado	04/30/15
Christine Lopez	SPED Aide II	Travis Ranch	04/27/15
Daliana Maltez	Food Service Worker	Food Services	03/30/15
Jasmine Martinez Escutia	SPED Aide I	Wagner	04/21/15
Lorelei Monterroso Woodfill	SPED Aide III	Mabel Paine	04/13/15
Jade Robinson	SPED Aide II	George Key	05/05/15
Christina Rojo	Child Care Teacher I	Rio Vista	04/27/15
Jason Schwartz	SPED Aide II	George Key	04/27/15
Christina Slovenec	SPED Aide III	Fairmont	04/20/15

Leave of Absence

<u>Employee</u>	<u>Position</u>	<u>Site</u>	<u>Reason</u>	<u>Effective</u>
Kajal Jani	Instructional Aide	Music	General	02/04/15-04/12/15

Change of Status

<u>Employee</u>	<u>From</u>	<u>To</u>	<u>Effective</u>
Alyssa Alvarez	Child Care Tchr I-Sub Step 1	Child Care Tchr I-Sub Step 2	05/16/15
Mariah Alvarez	Child Care Tchr I-Sub Step 1	Child Care Tchr I-Sub Step 2	05/16/15
Ruth Arizmendi	SPED Aide II, 3.5 Hrs.	SPED Aide II, 3.75 Hrs.	05/11/15
Maria Camarena	Child Care Tchr I-Sub Step 1	Child Care Tchr I-Sub Step 2	05/16/15
Penney Christensen	SPED Aide I- Linda Vista	SPED Aide II- Linda Vista	04/24/15
Taylor Helm	Child Care Tchr I-17.5 hr/wk	Child Care Tchr I-19.75 hr/wk	04/20/15
Steve Ramaekers	Night Custodian-G. Key	Plant Coordinator-G. Key	05/04/15
Joyce Scudellari	Clerk I – Esperanza	Clerk II – Esperanza	05/20/15
Amanda Strong	Child Care Teacher I	Child Care Lead Teacher	04/27/15
Dominic Villegas	Child Care Tchr I-14.75hr/wk	Child Care Tchr I-18.50hr/wk	05/04/15

<u>Short Term</u>	<u>NTE Hrs</u>	<u>Reason</u>	<u>Site</u>	<u>Effective</u>
Farzaneh Alibabaey-Khameneh	15	Interpreter	SPED	04/14/15-06/12/15
Gisela Dutton	8.25	CAASPP Tech Assistant	Bryant Ranch	04/01/15-06/30/15
Lori Gonzalez	25	Monitor Student Bus	SPED-Mabel Paine	04/12/15-06/12/15
Constance Hood	38.25	Gen. Ed. Sec. Clerk I	Summer School	05/22/15-06/05/15
Bernadette Kubota	2.5	CAASPP Training	Golden	04/01/15-06/30/15
Laura Merica	40	Monitor Student Bus	SPED-TRMS	04/13/15-06/12/15
Maureen Miller	20	CAASPP Assistance	Bernardo Yorba	03/01/15-06/30/15

<u>Short Term</u>	<u>NTE Hrs</u>	<u>Reason</u>	<u>Site</u>	<u>Effective (Cont'd)</u>
Lorelei Monterroso Woodfill	8	Pro-Act Training "A"	SPED-Mabel Paine	05/15/15-05/06/15
Emma Patino	40	Interpreter	SPED	04/24/15-06/12/15
Mark Proctor	20	CAASPP Tech Asst	Woodsboro	03/01/15-06/30/15
Yvette Reta	47.25	Student Support	SPED-Brookhaven	04/13/15-06/12/15
John Texeira	10	Warehouse Worker	Ed Svs	05/01/15-06/30/15
Katherine Vargas- Limon	110	Student Support	SPED-EDHS	03/31/15-04/30/15
Laura Violett	9	CAASPP Tech Asst	Ruby Drive	03/15/15-06/30/15
Lindsey Watson	8	Pro-Act Training "A"	SPED-Tynes	05/05/15-05/06/15

<u>Substitutes</u>	<u>Position</u>	<u>Site</u>	<u>Effective</u>
Gretchen Benner	SPED Aide I/II	Various Sites	04/17/15-06/12/15
Daliana Maltez	Food Service Worker	Various Sites	03/30/15-06/30/15
Kristen Mason	SPED Aide III	Various Sites	04/20/15-06/12/15
Elizabeth Woodling	Attendance Clerk	El Dorado	04/01/15-06/30/15

District Funded Co-Curricular Assignments

<u>Stipends</u>	<u>Assignment</u>	<u>Site</u>	<u>NTE Amount</u>	<u>Effective</u>
Andrew Fonseca	Men's Volleyball	El Dorado	\$125.00	02/28/15-05/06/15
Kiley Kendall	Women's Swimming	Valencia	\$2603.00	02/28/15-05/08/15
Matthew Pokorny	Men's Lacrosse	Valencia	\$2353.00	02/28/15-05/15/15

Booster/ASB Funded Co-Curricular Assignments

<u>Stipends</u>	<u>Assignment</u>	<u>Site</u>	<u>NTE Amount</u>	<u>Effective</u>
Matthew Anderson	Men's Lacrosse	YLHS	\$1000.00	02/28/15-05/15/15
Brittany Brown (Cont'd)	Women's Volleyball	El Dorado	\$500.00	01/01/15-04/01/15

Booster/ASB Funded Co-Curricular Assignments

<u>Stipends</u>	<u>Assignment</u>	<u>Site</u>	<u>NTE Amount</u>	<u>Effective</u>
Kyle Enos	Track	Esperanza	\$1200.00	04/16/15-05/08/15
Cory Fitch	Men's Lacrosse	YLHS	\$1000.00	02/28/15-05/15/15
Jocelyn Gonzales	Women's Lacrosse	El Dorado	\$1000.00	11/01/14-02/15/15
Amanda Haines	Women's Lacrosse	El Dorado	\$1000.00	11/01/14-02/15/15
Lisa Hickman	Softball	Valencia	\$909.00	02/28/15-05/15/15
Craig Tefertiller	Hd Women's Volleyball	El Dorado	\$2500.00	01/01/15-04/01/15
Royce Watanabe	Softball	Valencia	\$2352.00	02/28/15-05/15/15
Mike Wimpey	Softball	Valencia	\$1818.00	02/28/15-05/15/15

Noon Duty Supervision, 2014-2015 SY

<u>Employee</u>	<u>Site</u>
Kacie Rachelle Bower	Van Buren
Melanie Knorr	Brookhaven

Child Care Program: Child Care Teacher I: All sites, Short Term: NTE 250 Hrs., Substitute, NTE 8 Hrs/Day, All Sites, 07/01/14-06/30/15

Bayan Al-Lahham

Faith Fuller

Christina Rojo

Amber Walsh

CERTIFICATED PERSONNEL REPORTRetirement

<u>Employee</u>	<u>Position</u>	<u>Site</u>	<u>Effective</u>
Virginia Davis	Teacher	Tynes	06/13/15

Resignation

<u>Employee</u>	<u>Position</u>	<u>Site</u>	<u>Effective</u>
Sheila Echmalian	Speech Lang. Path.	Spec. Ed.	06/13/15
Jessica Guccione	Teacher	Tuffree	06/13/15
Pamela Hauber	School Nurse	Health Svcs.	06/13/15
Kelli Keller	Principal	Glenview	07/1/15

Leaves of Absence

<u>Employee</u>	<u>Position</u>	<u>Site</u>	<u>Reason</u>	<u>Effective</u>
Amber Halsey	Teacher	El Dorado	PDL/FMLA	05/14/15-06/15/15
Christie Miller	Teacher	Lakeview	CFRA/FMLA	05/14/15-05/28/15
Krystal Sypherd	Teacher	Esperanza	PDL/FMLA	05/11/15-06/12/15

Summer School

<u>Employee</u>	<u>Site</u>	<u>Duty</u>	<u>Hrly. Rate</u>	<u>Hours</u>	<u>Effective</u>
Kamelia Slankard	Spec. Ed.	Speech/Lang Ther.	\$30	120	06/15/15-07/23/15
Carmen Tardaguila	Spec. Ed.	Preschool Assess.	Per Diem	120	06/22/15-07/23/15

Extra Duty Assignments

<u>Employee</u>	<u>Site</u>	<u>Extra Duty</u>	<u>Hrly. Rate</u>	<u>Hours</u>	<u>Effective</u>
Harry Dolen	El Dorado	Admin. Support	Per Diem	29/Day	05/04/15-06/12/15
Deborah Hensel	B-Yorba	Test Supervisor	\$25	20	04/20/15-06/01/15
Diana Lindwall	Spec. Ed.	Psych. Assessments	Per Diem	3/Day	05/06/15-06/12/15
Christine Marsden	Spec. Ed.	Triennial Assessment	Per Diem	20	04/27/15-06/13/15
Jason Parker	Valencia	Tiger Tutoring	\$27	20	04/13/15-06/12/15
Tina Proctor	Woodsboro	CAASPP Coord.	\$25	25	03/01/15-06/30/15
Rebekah Smith	Travis Ranch	Math Training	\$25	4	05/04/15-05/05/15
Gabrielle Stephenson	Ed. Svcs.	Math Task Force	\$25	10	04/01/15-06/30/15
Jessica Worley	Tynes	Pro Act A Training	\$25	12	05/05/15-05/06/15
Michael Young	Travis	Tech. Workshop	\$27	5	03/01/15-06/30/15
		Prep.	\$25	5	03/01/15-06/30/15

Educational Services, ASES Support, \$27/Hr., NTE 25 Hrs., 05/04/15-06/12/15

Faith Crosswell
Violet Hobbs

Educational Services, CAASPP Testing, \$25/Hr., 03/01/15-06/30/15

<u>Employee</u>	<u>NTE Hours</u>
Jodi Castillo	5
Darlene Clark	5
Rachael Collins	15
Janeal Hall	20
Carrie Pipkin	5
Leticia Pulido	5
Kathleen Smith	5

Educational Services, Houghton-Mifflin Elem. Math Pilot, \$25/Hr., NTE 9 Hrs., 04/17/15-06/30/15

Teresa Ashton
 Jaclyn Deano
 Courtney Depsky
 Jenny Laurich
 Kristin McDonald
 Stacy Owens
 Rosemary Pang
 Rebeccalee Smith
 Guadalupe Toscano

Executive Services, Saturday School Program, Instruction \$27/Hr., Prep., \$25/Hr., 2014-2015 SY

<u>Employee</u>	<u>NTE Hours</u>	<u>Prep</u>	<u>Site</u>
Brady Bilhartz	6	2	Valencia
Francine Bless	12	6	Van Buren
Richard Cadra	4	2	YLHS
Sunshine Cavalluzi	12	6	El Dorado
Dan Eliot	4	2	YLHS
Amber Ferris	8	4	YLHS
Kelleen Fritz	4	2	YLHS
Jesse Gomez	4	2	YLHS
Mark Honig	8	4	YLHS
Rey Lejano	8	4	YLHS
Kyle Linebarger	4	2	YLHS
William M. Lucas	12	6	El Dorado
Carmen Nicholson	4	2	YLHS
Rosemary Pang	12	6	Van Buren
Jennifer O'Rourke	12	6	Van Buren
Wesley Peacock	8	4	YLHS
Anne San Roman	12	6	Van Buren
Kevin Shanahan	4	2	YLHS
Stephanie Shirey	12	6	El Dorado
Timothy Tivenan	12	6	El Dorado
Linda Yakzan	4	2	YLHS

Executive Services, Parent University, \$25/Hr., 10//20/14-06/01/15

<u>Employee</u>	<u>NTE Hours</u>
David Green	10
Isabel Jackle	2

StipendsWagner, Outdoor Science Program, NTE \$354.00, 05/05/15-05/08/15

Karen Dunn
 Rhianna Kreher

BOARD POLICY

Placentia-Yorba Linda Unified School District

Personnel

4112.41/4212.41 - BP

TUBERCULOSIS SCREENING

No applicant shall be initially employed by the district, or employed under contract, in a classified or certificated position unless he/she has submitted to a tuberculosis risk assessment within the past 60 days and, if tuberculosis risk factors are identified, has submitted to an intradermal or other approved tuberculosis examination to determine that he/she is free of infectious tuberculosis. If the results of the examination are positive, the applicant shall obtain an x-ray of the lungs. At his/her discretion, an applicant may choose to submit to the examination instead of the risk assessment.

Prior to employment by the district, an applicant shall submit a certificate from an authorized health provider stating that the applicant was assessed and/or examined and found to be free of infectious tuberculosis.

An applicant who was previously employed in another school district or private or parochial school shall be deemed to have fulfilled the tuberculosis testing requirement if he/she produces a certificate showing that he/she was found to be free of infectious tuberculosis within 60 days of initial hire or if his/her previous employer verifies that it has a certificate on file showing that the applicant is free from infectious tuberculosis.

Thereafter, each district employee who was found free of infectious tuberculosis shall undergo a tuberculosis risk assessment, and an examination whenever risk factors are identified, at least once every four years or more often when required by the Governing Board upon recommendation of the county health officer.

LEGAL REFERENCE: Education Code Section 44839
Section 49406

Title V Section 5505

Policy adopted: 5/12/80
Policy revised: 6/28/82
Policy revised: 5/19/15

BOARD POLICYPlacentia-Yorba Linda Unified School District

Students

5131.11 - BP

STUDENT USE OF ELECTRONIC DEVICES

It is the intent of the Board of Education, in exercising its authority to regulate the use of electronic devices, to establish guidelines and regulations that will ensure the continuation of a positive climate for learning, free from unnecessary disruptions. As the technology of wireless communication in our society has evolved, there is now widespread use of electronic devices among our student population that previously has been prohibited, such as cell phones, tablets, laptops and other mobile devices.

Although state law no longer prohibits students from possessing or using electronic devices, restrictions on their use on a school campus must exist to ensure that such use does not infringe upon the rights of others or interfere with classroom instruction or other school activities.

The Board permits student possession of electronic devices on a school campus during the school day. The PYLUSD does not assume liability if such devices are damaged, lost or stolen.

At the elementary and middle school level, electronic devices shall remain off during the school day unless teacher approval is given. At the high school level, use is permitted while on school grounds, but shall only be used during class time when teacher approval is given. The school day is defined as the time a student arrives on campus until the end of their instructional day.

If the privilege of having these devices at school is abused and the possession or use of an electronic device violates this policy, the school has the right to revoke the privilege and prohibit a student from possessing such a device on campus. The principal/designee is responsible for including violations of this policy in the school discipline plan as appropriate.

No student shall be prohibited from possessing or using an electronic device that is determined and documented by a licensed physician and/or surgeon to be essential for the health of the student and use of which is limited to purposes related to the health of the student.

LEGAL REFERENCE: Education Code 48901.5

Policy adopted: 11/12/02

Policy revised: 5/19/15

BOARD POLICYPlacentia-Yorba Linda Unified School District

Students

5141.6 - BP

CHILD ABUSE REPORTING

The Board of the Placentia-Yorba Linda Unified School District recognizes that child abuse and neglect are serious and pervasive social problems causing suffering and emotional distress in children from all neighborhoods, economic levels, religions, and races. Further, the board views prevention of child abuse, and intervention on behalf of children already subjected to serious neglect, physical or sexual abuse, as vital to the welfare of students within the school district. Other than parents, school personnel have the most consistent and ongoing contact with school-age children. As such, they are in the most advantageous position to recognize possible instances of abuse and neglect, and initiate help for abuse victims.

School employees, therefore, have a critical responsibility in the identification of instances of suspected child abuse, and in the reporting of these instances to the proper agency. The protection of a child is a moral as well as legal responsibility of all school personnel.

Per California Legislation and Penal Code, mandated reporters include, but are not limited to, teachers; instructional aides; teacher's aides or assistants; classified employees; certificated pupil personnel employees; administrative officers or supervisors of child attendance; athletic coaches, administrators, and directors; administrators and employees of a licensed child day care facility; Head Start teachers, district police or security officers; licensed nurses or health care providers; and administrators, presenters, and counselors of a child abuse prevention program. These individuals identified as mandated reporters shall report all suspected or known instances of child abuse and neglect. It shall be the policy, therefore, of the board, in keeping with its commitment to the safety, health and welfare of all students, that all mandated reporters shall report all known or suspected instances of physical abuse, sexual abuse, or neglect of children under the age of 18 years, in accordance with district administrative regulations. Moreover, this reporting obligation shall be extended to the suspected abuse of developmentally disabled adults.

It is the intent of the board to insure that all classified and certificated staff members are familiar with the causes, types and symptoms of child abuse and neglect. Further, all school employees shall be aware of their legal obligations and liabilities regarding child abuse reporting as well as appropriate reporting procedures. To that end, within the first six weeks of each school year, the Superintendent or designee shall provide training on mandated reporting requirements to district employees and persons working on their behalf who are mandated reporters. Any school personnel hired during the school year shall receive such training within the first six weeks of employment.

LEGAL REFERENCE: Education Code Section 48906, 44691
California Penal Code Sections 11165-11174
Welfare and Institutions Code Sections 305, 15630

Policy adopted: 6/9/86
Policy revised: 5/19/15

**RESOLUTION IMPLEMENTING PREQUALIFICATION
OF CONSTRUCTION CONTRACTORS UNDER
PUBLIC CONTRACT CODE SECTION 20111.6
PLACENTIA-YORBA LINDA UNIFIED SCHOOL DISTRICT**

RESOLUTION NO. 24

WHEREAS, for construction contracts awarded on or after January 1, 2014, and until January 1, 2019, Public Contract Code Section 20111.6 requires the governing board of a school district with an average daily attendance over two thousand five hundred (2,500) to prequalify bidders for public works projects using any funds received pursuant to the Leroy F. Greene School Facilities Act of 1998 (Education Code Section 17070.10 *et seq.*) or any funds from any future state school bond for a public project with a projected expenditure of one million dollars (\$1,000,000) or more; and

WHEREAS, for purposes of Public Contract Code Section 20111.6 and public projects that fall within its purview, bidders shall include the general contractor and, if utilized, all electrical, mechanical, and plumbing subcontractors (licensed pursuant to Section 7058 of the Business and Professions Code, specifically contractors holding C-4, C-7, C-10, C-16, C-20, C-34, C-36, C-38, C-42, C-43, and C-46 licenses); and

WHEREAS, the standardized questionnaire and financial statement in a form specified by the school district ("Questionnaire") is required to include a complete statement of the prospective bidder's financial ability and experience in performing public works; and

WHEREAS, the Questionnaire and financial statement must be verified under oath by the bidder in the manner in which civil pleadings and civil actions are verified; and

WHEREAS, the Questionnaire is not a public record and is not to be opened to public inspection; and

WHEREAS, each Questionnaire submitted by a prospective bidder will be scored in accordance with an established point system; and

WHEREAS, Public Contract Code Sections 20111.6 requires any school district requiring prospective bidders to complete and submit a Questionnaire to adopt and apply a uniform system of rating bidders on the basis of the completed Questionnaire in order to determine if bidder will be deemed qualified to bid ("Uniform System"); and

WHEREAS, a school district may not accept a proposal from any potential bidder who is required to submit a Questionnaire in accordance with Public Contract Code Section 20111.6, but has not done so at least ten (10) business days prior to the date fixed upon the public opening of sealed bids, or has not been prequalified by the school district in accordance with Public Contract Code Section 20111.6(f) at least five (5) business days prior to the opening of sealed bids; and

WHEREAS, notwithstanding the foregoing recital, pursuant to Public Contract Code Section 20111.6, a school district may establish a process for prequalifying prospective bidders and may authorize their prequalification to be considered valid for up to one (1) calendar year following the date of initial prequalification;

NOW THEREFORE, THE GOVERNING BOARD OF THE PLACENTIA-YORBA LINDA UNIFIED SCHOOL DISTRICT DOES HEREBY RESOLVE:

Section 1. In accordance with Public Contract Code 20111.6, the Governing Board ("Board") establishes a prequalification program for construction contracts awarded on or after January 1, 2014, until January 1, 2019 that receive funding pursuant to the Leroy F. Greene School Facilities Act of 1998 (Education Code Section 17070.10 *et seq.*) or any funds from any future state school bond and involves a projected expenditure of one million dollars (\$1,000,000) or more, ("Prequalification Program").

Section 2. The Prequalification Program shall utilize the Questionnaire attached hereto as Exhibit "A" and incorporated herein.

Section 3. In accordance with Public Contract Code Section 20111.6, the District adopts the Uniform System of allocating points set forth in the document attached hereto as Exhibit "B" with respect to the District's review of any submitted Questionnaires. Any potential bidder who submits a Questionnaire that does not meet the above-referenced criteria set forth in this section shall be considered not qualified and rejected.

Section 4. The Questionnaire shall be completed by any potential bidder in conformance with Public Contract Code Section 20111.6.

Section 5. With respect to construction contracts awarded on or after January 1, 2014 that receive funding pursuant to the Leroy F. Greene School Facilities Act of 1998 (Education Code Section 17070.10 *et seq.*) or any funds from any future state school bond and involves a projected expenditure of one million dollars (\$1,000,000) or more, each prospective bidder must be prequalified in conformance with the Prequalification Program prior to submitting a bid.

Section 6. In submitting any bids for construction contracts awarded on or after January 1, 2014 that receive funding pursuant to the Leroy F. Greene School Facilities Act of 1998 (Education Code Section 17070.10 *et seq.*) or any funds from any future state school bond and involves a projected expenditure of one million dollars (\$1,000,000) or more, and any future projects that require prequalification of contractors, the District will furnish each prospective bidder a standardized proposal form that when completed and executed, will constitute such potential bidder's bid ("Proposal").

Section 7. A Proposal shall not be accepted from any person or other entity for any construction contracts awarded on or after January 1, 2014 that receive funding pursuant to the Leroy F. Greene School Facilities Act of 1998 (Education Code Section 17070.10 *et seq.*) or any funds from any future state school bond and involves a projected expenditure of one million dollars (\$1,000,000) or more who: (1) has not submitted a Questionnaire at least ten (10) business days prior to the date fixed for the public opening of the seal bids for such construction contract in accordance with Public Contract Code Section 20111.6(f), or (2) who has not been prequalified for at least five (5) business days prior to the public opening of seal bids for such contract, in accordance with Public Contract Code Section 20111.6(f).

Section 8. Once prequalified pursuant to this section, such prequalification shall be valid for up to one (1) calendar year following the date of initial prequalification.

Section 9. The Board hereby delegates to the District's Executive Director, Maintenance, Facilities, and Construction the authority to determine whether a potential bidder shall be considered prequalified.

Section 10. The Board hereby delegates to the District's Superintendent or his/her designee, the authority to hear and oversee prequalification determination appeals.

PASSED AND ADOPTED by the Governing Board of the Placentia-Yorba Linda Unified School District on the 19th of May 2015, by the following vote:

AYES: Eric Padget, Judi Carmona, Karin Freeman, Carol Downey, Carrie Buck
NOES: None
ABSENT: None
ABSTAIN: None

I, Doug Domene, Secretary of the Placentia-Yorba Linda Unified School District Governing Board, do hereby certify that the foregoing is a full, true and correct copy of a resolution passed and adopted by said Board at a regularly scheduled and conducted meeting held on said date, which resolution is on file in office of said Board.

Doug Domene
Doug Domene, Ed.D.
Secretary

EXHIBIT "A"

ANNOUNCEMENT OF PRE-QUALIFICATION PROCEDURES FOR CONSTRUCTION CONTRACTORS

Notice is hereby given by the Placentia-Yorba Linda Unified School District ("District") that prime or general contractors and mechanical, electrical and plumbing ("MEP") subcontractors (as defined in Public Contract Code section 20111.6) planning to participate in bidding on certain public projects to be undertaken by the District, must be pre-qualified prior to submitting bids for such public projects. Pursuant to Public Contract Code Section 20111.6 bidders on all public projects using funds received pursuant to the Leroy F. Greene School Facilities Act of 1998 or any funds from any future state school bond that involves a projected public project expenditure of one million dollars (\$1,000,000) or more, must be pre-qualified.

Timeline

In order to submit a valid bid for any project requiring pre-qualification, prospective bidders must submit a pre-qualification questionnaire, a financial statement, and a standardized bidding form at least ten (10) business days prior to the date fixed for the public opening of sealed bids. All prospective bidders must be deemed pre-qualified to bid at least five (5) business days prior to that date.

This prequalification packet includes a questionnaire and financial statement, to be verified under oath. The District will use the information and documentation required by the packet to prequalify general contractors and MEP subcontractors in accordance with Public Contract Code section 20111.6.

Questionnaire

All questions in the questionnaire must be answered. If a question is not applicable, then indicate a response of "N/A". "You" or "Yours" as used herein refers to the prospective bidders' firm and any of its owners, officers, principals and qualifying individuals. Any references to owners, officer, principals or partners herein shall include any qualifying individuals including any RME or RMO. If two or more business entities submit a bid on a project as a Joint Venture, or expect to submit a bid as part of a Joint Venture, each entity within the Joint Venture must be separately qualified to bid.

Each questionnaire must be signed under penalty of perjury in the manner designated at the end of the form, by an individual who has the legal authority to bind the contractor on whose behalf that person is signing. If any information provided by a prospective bidder becomes inaccurate, the prospective bidder must immediately notify the District and provide updated accurate information in writing, under penalty of perjury. Each prospective bidder shall have a duly authorized owner, officer or principal complete the questionnaire and verify the truth of the information provided therein and in the financial statement.

Financial Statement

In addition to completing the attached questionnaire, each prospective bidder must submit its most current reviewed or year-end audited financial statement, which must have been prepared by a certified public accountant within twelve (12) months of each prospective bidder's submission of the prequalification package. Each prospective bidder must also provide its most current financial statement, which must have been prepared within three (3) months of each prospective bidder's submission of the prequalification package. Finally, each prospective bidder must submit a notarized statement from an admitted surety insurer (approved by the California Department of Insurance and authorized to issue bonds in the State of California) which states your current bonding capacity.

Financial statements submitted with this prequalification package shall not be prepared by any individual who is in the regular employ of the firm submitting the statement, nor by any individual or entity who has more than a ten percent (10%) financial interest in the firm's business. If the individual or entity that prepared a financial statement submitted with this prequalification package has any financial interest in the firm's business, the firm shall notify the District of such financial interest in a separate signed statement accompanying this prequalification package.

Submission

Prospective bidders are encouraged to submit prequalification packages as soon as possible, so that they may be notified of prequalification status well in advance of the bid process. The prequalification packages should be sealed, marked "**CONFIDENTIAL PREQUALIFICATION**," and mailed to the following:

Placentia-Yorba Linda Unified School District
Rick Guaderrama, Executive Director, Maintenance, Facilities & Construction
1301 East Orangethorpe Avenue
Placentia, California 92870

The questionnaire answers and financial statements included in the prequalification packages submitted by prospective bidders are not public records and are not open to public inspection. All such information provided will be kept confidential to the extent permitted by law, although the contents may be disclosed to third parties for the purpose of verification, investigation of substantial allegations, and in the process of any subsequent proceedings. State law requires that the names of contractors applying for prequalification status shall be public records subject to disclosure, and the first page of the questionnaire will be used for that purpose.

Evaluation by the District

The District will evaluate the information provided and issue each submitting prospective bidder a rating of "Prequalified" or "Not Prequalified." The District's decision will be based on the information provided, references, and such additional outside information as the District in its discretion deems reasonable and necessary to obtain. The District may, but is not obligated to, investigate the truth of any statements or information provided by a prospective bidder in response hereto.

Prequalification approval will remain valid for one (1) calendar year from the date of notice of qualification, except that the District reserves the right during that calendar year to adjust, increase, limit, suspend or rescind the pre-qualification ratings based on subsequently learned information and after giving notice of the proposed action to the prospective bidder and an opportunity for a hearing consistent with the hearing procedures described below for appealing a pre-qualification determination.

While it is the intent of the prequalification questionnaire and documents required herein to assist the District in determining bidder responsibility prior to the submission of bids and to aid the District in selecting the lowest responsible bidder, neither the fact of prequalification, nor any prequalification rating, will preclude the District from a post-bid consideration and determination on a specific project of whether a bidder has the quality, fitness, capacity and experience to satisfactorily perform the proposed work, and has demonstrated the requisite trustworthiness.

Appeal

A prospective bidder who has timely submitted a completed application form, and who receives a rating of "Not Prequalified" from the District may appeal that determination. There is no appeal from a finding that a prospective bidder is not prequalified because of a late application or a failure to submit required

information. A prospective bidder may appeal the District's decision with respect to its request for prequalification, by giving written notice to the District no later than five (5) business days after receipt of notice of its qualification status. Notice shall be sent to the address listed above. Unless a prospective bidder files a timely appeal, the prospective bidder waives any and all rights to challenge the qualification decision of the District, whether by administrative process, judicial process or any other legal process or proceeding. The District reserves the right to resolve appeals before or after bid opening or award of any contract. The date for submission and opening of bids for a specific project and any subsequent contract award will not be delayed or postponed to allow for completion of an appeal process.

After receipt of a request for appeal, the District will provide the prospective bidder any supporting evidence that has been received from others or adduced as a result of an investigation by the District. The appealing prospective bidder will be provided an opportunity to rebut any evidence. The District, in its sole discretion, may conduct a hearing regarding the prospective bidder's timely appeal. If such a hearing is conducted, the prospective bidder consents to the District's Superintendent or his or her designee, to act as the hearing officer.

Bidding

Bids will not be accepted from any prospective bidder that did not timely submit a completed prequalification questionnaire and supporting documents, including financial statements, to the District. Omission of requested information, falsification of information, or failing to use the forms provided by the District may result in a finding of "not prequalified".

MEP subcontractors (licensed pursuant to Section 7058 of the Business and Professions Code, specifically contractors holding C-4, C-7, C-10, C-16, C-20, C-34, C-36, C-38, C-42, C-43, and C-46 licenses) must also meet prequalification requirements on all projects using funds received pursuant to the Leroy F. Greene School Facilities Act of 1998 or any funds from any future state school bond that involves a projected expenditure of one million dollars (\$1,000,000) or more. If a project includes electrical, mechanical, or plumbing components that will be performed by MEP subcontractors, a list of prequalified general contractors and MEP subcontractors will be made available by the District to all bidders at least five (5) business days prior to the dates fixed for the public opening of sealed bids.

If a general contractor uses any MEP subcontractor required for any project, such MEP subcontractor must be prequalified pursuant to these prequalification forms and requirements. If a MEP subcontractor in any general contractor bid is not prequalified, that bid will not be accepted.

Prospective bidders are warned that a project may have specific requirements that differ or are in addition to being properly prequalified pursuant to these documents. A determination that a contractor or MEP subcontractor is prequalified pursuant to these documents does not automatically mean a contractor or MEP subcontractor meets all of the requirements of a specific project. Prospective bidders are instructed to carefully review the requirements for each project before submitting a bid.

The District reserves the right to amend the prequalification packet at any time. The District reserves the right to waive minor irregularities and omissions in the information contained in the prequalification application submitted and to make all final determinations. Additionally, a determination by the District that a prospective bidder is prequalified does not amount to a final determination that such prospective bidder is responsible or responsive for purposes of bid evaluation. The District may, in accordance with applicable law reject a prequalified contractor's bid, and the District may additionally reject all bids if it determines such action is in the best interest of the public.

**PLACENTIA-YORBA LINDA UNIFIED SCHOOL DISTRICT
PREQUALIFICATION QUESTIONNAIRE**

IMPORTANT INFORMATION: Please note: Declaration under penalty of perjury (last page of questionnaire) is required under State law. Failure to sign form will invalidate the prequalification packet. A passing score in general for prequalification does not guarantee that bidder will be eligible to bid this particular project. Contractors will receive a separate determination as to the overall prequalification dollar limit.

CONTACT INFORMATION

Contractor _____
(as it appears on license)

Check one of the following:

- Corporation
- Partnership
- Sole Proprietorship
- Joint Venture

Contact Person: _____

Address (City, State, Zip): _____

Phone: _____ Fax: _____

Email: _____ Company Website: _____

Contractor's License Number(s) and Types (Please include expiration date):

Tax ID Number _____

If firm is a sole proprietor or partnership:

Owner(s) of Company _____

Company Union Status: Union Non-Union

Minority Status: MBE DBE DVBE SBE DBE

Other Minority Certification: _____

**PLACENTIA-YORBA LINDA UNIFIED SCHOOL DISTRICT
PREQUALIFICATION QUESTIONNAIRE**

PART I. ESSENTIAL REQUIREMENTS FOR QUALIFICATION

Contractor will be immediately disqualified if the answer to any of questions 1 through 6 is “no.”¹

To Contractors seeking prequalification as a subcontractor only: You must respond to all Part I questions, however your response to Part I, Question Number 5 will not be rated.

Contractor will be immediately disqualified if the answer to any of questions 7, 8, 9 or 10 is “yes.”² If the answer to question 9 is “yes,” and if debarment would be the sole reason for denial of pre-qualification, any pre-qualification issued will exclude the debarment period.

1. Contractor possesses a valid and current California Contractor’s license for the project or projects for which it intends to submit a bid.
 Yes No
2. Contractor has a liability insurance policy with a policy limit of at least \$1,000,000 per occurrence and \$2,000,000 aggregate.
 Yes No **(Please provide a current Certificate of Insurance as verification)**
3. Contractor has current workers’ compensation insurance policy as required by the Labor Code or is legally self-insured pursuant to Labor Code section 3700 *et. seq.*
 Yes No Contractor is exempt from this requirement, because it has no employees
4. Have you attached your latest copy of a reviewed or audited financial statement with accompanying notes and supplemental information.³
 Yes No

NOTE: A financial statement that is not either reviewed or audited is not acceptable. A letter verifying availability of a line of credit may also be attached; however, it will be considered as supplemental information only, and is not a substitute for the required financial statement.

5. Have you attached a notarized statement from an admitted surety insurer (approved by the California Department of Insurance) and authorized to issue bonds in the State of California, which states: (a) that your current bonding capacity is sufficient for the project for which you seek pre-qualification if you are seeking pre-qualification for a single project; or (if you are seeking pre-qualification valid for a year) (b) your current available bonding capacity?⁴
 Yes No

¹ A “no” answer to Question 4 will not be disqualifying if the contractor is exempt from complying with Question 4, for reasons explained in footnote 3.

² A contractor disqualified solely because of a “Yes” answer given to question 7, 8, or 10 may appeal the disqualification and provide an explanation of the relevant circumstances during the appeal procedure.

³ Public Contract Code section 20101(e) exempts from this requirement a contractor who has qualified as a small business pursuant to Government Code section 14837(d)(1), if the bid is “no more than 25 per cent of the qualifying amount provided in section 14837(d)(1).” As of August 12, 2013, the qualifying amount is \$10 million, and 25 per cent of that amount, therefore, is \$2.5 million.

⁴ An additional notarized statement from the surety may be requested by Placentia-Yorba Linda Unified School District at the time of submission of a bid, if this pre-qualification package is submitted more than 60 days prior to submission of the bid.

NOTE: Notarized statement must be from the surety company, not an agent or broker. Contractors seeking prequalification as a subcontractor only: You must respond to this question, however you will not be rated according to your response.

6. Are you currently registered with the Department of Industrial Relations in accordance with Labor Code section 1725.5?

Yes No

If yes, what is your registration number _____.
Please submit proof of registration.

7. Has your contractor's license been revoked at any time in the last five years?

Yes No

8. Has a surety firm completed a contract on your behalf, or paid for completion because your firm was default terminated by the project owner within the last five (5) years?

Yes No

9. At the time of submitting this pre-qualification form, is your firm ineligible to bid on or be awarded a public works contract, or perform as a subcontractor on a public works contract, pursuant to either Labor Code section 1777.1 or Labor Code section 1777.7?

Yes No

If the answer is "Yes," state the beginning and ending dates of the period of debarment:

10. At any time during the last five years, has your firm, or any of its owners or officers been convicted of a crime involving the awarding of a contract of a government construction project, or the bidding or performance of a government contract?

Yes No

**PLACENTIA-YORBA LINDA UNIFIED SCHOOL DISTRICT
PREQUALIFICATION QUESTIONNAIRE**

PART II. ORGANIZATION, HISTORY, ORGANIZATIONAL PERFORMANCE, COMPLIANCE WITH CIVIL AND CRIMINAL LAWS

A. Current Organization and Structure of the Business

For Firms That Are Corporations:

- 1a. Date incorporated: _____
- 1b. Under the laws of what state: _____
- 1c. Provide all the following information for each person who is either (a) an officer of the corporation (president, vice president, secretary, treasurer), or (b) the owner of at least ten per cent of the corporation's stock.

Name	Position	Years with Co.	% Ownership	Last four Social Security *

- 1d. Identify every construction firm that any person listed above has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.

NOTE: For this question, "owner" and "partner" refer to ownership of ten per cent or more of the business, or 10 per cent or more of its stock, if the business is a corporation.

Person's Name	Construction Firm	Dates of Person's Participation with Firm

For Firms That Are Partnerships:

- 1a. Date of formation: _____
- 1b. Under the laws of what state: _____
- 1c. Provide all the following information for each partner who owns 10 percent or more of the firm.

Name	Position	Years with Co.	% Ownership	Last four Social Security #'s

*last four numbers of Social Security is suitable.

- 1d. Identify every construction company that any partner has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.

NOTE: For this question, “owner” and “partner” refer to ownership of ten per cent or more of the business, or ten per cent or more of its stock, if the business is a corporation.

Person’s Name	Construction Company	Dates of Person’s Participation with Company

For Firms That Are Sole Proprietorships:

- 1a. Date of commencement of business. _____
 1b. Social security number of company owner. _____
 1c. Identify every construction firm that the business owner has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.

NOTE: For this question, “owner” and “partner” refer to ownership of ten per cent or more of the business, or ten per cent or more of its stock, if the business is a corporation.

Person’s Name	Construction Company	Dates of Person’s Participation with Company

For Firms That Intend to Make a Bid as Part of a Joint Venture:

- 1a. Date of commencement of joint venture. _____
 1b. Provide all of the following information for each firm that is a member of the joint venture that expects to bid on one or more projects:

1.1.1.1.Name of firm	% Ownership of Joint Venture

B. History of the Business and Organizational Performance

2. How many years has your organization completed work for public agencies? Years: _____
 3. Has your organization ever been licensed in California under a different name or different license number?
 Yes No

If “yes,” please list all name(s) and license number(s), on a separate signed page.

- 4. Are you currently pre-qualified with any other school district?
 Yes No

If yes, please identify the school district(s), with contact information and the dollar rating that you have been pre-qualified for at such school district(s), on a separate signed page.

- 5. Has there been any change in ownership of the firm at any time during the last three years?

NOTE: A corporation whose shares are publicly traded is not required to answer this question.

- Yes No

If "yes," explain on a separate signed page.

- 6. Is the firm a subsidiary, parent, holding company or affiliate of another construction firm?

NOTE: Include information (including license number(s) about other firms if one firm owns 50 per cent or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.

- Yes No

If "yes," explain on a separate signed page. Please include all name(s) and license number(s)

- 7. Are any corporate officers, partners or owners connected to any other construction firms?

NOTE: Include information about other firms if an owner, partner, or officer of your firm holds a similar position in another firm.

- Yes No

If "yes," explain on a separate signed page.

List your firm's gross revenues for each of the last three years:

Year: _____ \$ _____

Year: _____ \$ _____

Year: _____ \$ _____

- 8. How many years has your organization been in business in California as a contractor under your present business name and license number? _____ Years

- 9. Is your firm currently the debtor in a bankruptcy case?
 Yes No

If "yes," please attach a copy of the bankruptcy petition, showing the case number, and the date on which the petition was filed.

10. If your firm is not currently in bankruptcy, was your firm in bankruptcy at any time during the last five years?

Yes No

If "yes," please attach a copy of the bankruptcy petition, showing the case number and the date on which the petition was filed, and a copy of the Bankruptcy Court's discharge order, or of any other document that ended the case, if no discharge order was issued.

In what type of construction do you specialize: _____

What was the largest amount of work completed in one year: _____

Number of projects: _____ Year: _____ Amount of Largest Project: _____

C. Licenses

List all California construction license numbers, classifications and expiration dates of the California contractor licenses held by your firm:

If any of your firm's license(s) are held in the name of a corporation or partnership, list below the names of the qualifying individual(s) listed on the CSLB records who meet(s) the experience and examination requirements for each license.

11. Has your firm changed names or license number in the past five years?

Yes No

If "yes," explain on a separate signed page, including the reason for the change.

12. Has any owner, partner or (for corporations) officer of your firm operated a construction firm under any other name in the last five years?

Yes No

If "yes," explain on a separate signed page, including the reason for the change.

13. Has any CSLB license held by your firm or its Responsible Managing Employee (RME) or Responsible Managing Officer (RMO) been suspended within the last five years?

Yes No

If "yes," please explain on a separate signed sheet.

D. Surety and Bonding Information

Bonding capacity: **Please provide a letter stating bondability from current surety company.** Also, please provide documentation from your surety (not agency) identifying the following: **Note: Contractors seeking prequalification as a subcontractor only: You must respond to this question, however you will not be rated according to your response.**

Name of bonding company/surety: _____

Name of surety agent/contact person, address and telephone number:

- 14. If your firm was required to pay a premium of more than one per cent for a performance and payment bond on any project(s) on which your firm worked at any time during the last three years, state the percentage that your firm was required to pay. You may provide an explanation for a percentage rate higher than one per cent, if you wish to do so. **Note: Contractors seeking prequalification as a subcontractor only: You must respond to this question, however you will not be rated according to your response.**

(Note: Contractors seeking prequalification as a subcontractor only: Response will not be rated.)

List all other sureties, not agencies (name, contact person, full address, phone number, largest bond obtained) that have written bonds for your firm during the last five years, including the dates during which each wrote the bonds (If necessary, list on separate signed sheet) **Note: Contractors seeking prequalification as a subcontractor only: You must respond to this question, however you will not be rated according to your response.:**

- 15. During the last five years, has your firm ever been denied bond coverage by a surety company, or has there ever been a period of time when your firm had no surety bond in place during a public construction project when one was required? **Note: Contractors seeking prequalification as a subcontractor only: You must respond to this question, however you will not be rated according to your response.**

Yes No

If yes, provide details on a separate signed sheet indicating the date when your firm was denied coverage and the name of the company or companies which denied coverage; and the period during which you had no surety bond in place.

(Note: Contractors seeking prequalification as a subcontractor only: Response will not be rated.)

16. At any time during the past five years, has any surety company made any payments on your firm's behalf as a result of a default, to satisfy any claims made against a performance or payment bond issued on your firm's behalf, in connection with a construction project, either public or private?
Note: Contractors seeking prequalification as a subcontractor only: You must respond to this question, however you will not be rated according to your response.
 Yes No

If "yes," explain on a separate signed page the amount of each such claim, the name and telephone number of the claimant, the date of the claim, the grounds for the claim, the present status of the claim, the date of resolution of such claim if resolved, the method by which such was resolved if resolved, the nature of the resolution and the amount, if any, at which the claim was resolved.

(Note: Contractors seeking prequalification as a subcontractor only: Response will not be rated.)

17. Has your firm ever failed to complete a contract?
 Yes No

If "yes," explain on a separate signed page.

How many projects is your firm currently bonded for? _____

What are the contract amounts your firm has currently bonded?

Contracts	Amount Bonded

E. Insurance

Insurance Company: _____

Address: _____

City, State, Zip: _____

Phone Number: _____ Facsimile Number: _____

Contact Person: _____

18. How long have you been with this insurance company? _____

If less than two years, please list prior insurance companies below:

Insurance Company	Address	Phone	Contact Person	Year(s)

19. In the last five years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for your firm?
 Yes No

If "yes," explain on a separate signed page. Name the insurance carrier, the form of insurance and the year of the refusal.

20. Has your organization ever had insurance terminated by a carrier in the past five (5) years due to an excessive claims history and/or nonpayment of premium?

If "yes," explain on a separate signed sheet. Name the insurance carrier, the form of insurance, and year of termination.

F. Safety Program & Workers' Compensation

Each bidder shall submit a copy of the Table of Contents from bidder's established safety/injury prevention program which complies with the Contract Documents and all applicable governmental regulations. After determination of the successful bidder, its subcontractors must submit a copy of their respective safety/injury prevention programs within five (5) days of the District's request.

Bidder: _____

Signature: _____

By: _____

Date: _____

Each bidder shall submit its workers' compensation experience modification factor. After determination of the successful bidder, its subcontractors must submit their workers compensation modification factor within five (5) days of District's request.

21. List your firm's Experience Modification Rate (EMR) (California Workers' Compensation Insurance) for each of the past premium years:

Current Year: _____

Previous Year: _____

Year Prior to Previous Year: _____

If your EMR for any of these three years is or was 1.25 or higher you may, if you wish, attach a letter of explanation.

22. Within the last five years has there ever been a period when your firm had employees but was without workers' compensation insurance or state-approved self-insurance?
 Yes No

If "yes," please explain the reason for the absence of workers' compensation insurance on a separate signed page. If "No," please provide a statement by your current workers' compensation insurance carrier that verifies periods of workers' compensation insurance coverage for the last five years. (If your firm has been in the construction business for less than five years, provide a

statement by your workers' compensation insurance carrier verifying continuous workers' compensation insurance coverage for the period that your firm has been in the construction business.)

G. Compliance with Occupational Safety and Health Laws and with Other Labor Legislation Safety

Each bidder, and its subcontractors, must declare any serious or willful violations of Part 1 (commencing with Section 6300) of Division 5 of the California Labor Code received during the last five years. This information must include all construction work undertaken in the United States by the bidder and any affiliate of the bidder. Separate information shall be submitted for each particular partnership, joint venture, corporation, Limited Liability Company or individual bidder or subcontractor. The bidder or its subcontractors may be requested to submit additional information or explanation of data, which District may require for evaluating the safety record. The term "affiliate" shall mean any firm, corporation, partnership, joint venture, limited liability company or association which is a member, joint venturer or partner of the bidder, or any such entity which owns a substantial interest in, or is owned in common with, the bidder, its subcontractor or any of its members, joint venturers or partners, or any such entity in which the bidder, its subcontractor, or any of their members, joint venturers or partners own a substantial interest.

23. Has your firm ever received a serious or willful safety violation during the last five (5) years?
 Yes No

If "yes," attached a separate signed page describing the violation, including information about the dates, the nature of the violation, the project on which the citation(s) was or were issued, the amount of penalty paid, if any.

24. Has CAL OSHA cited and assessed penalties against your firm for any "serious," "willful" or "repeat" violations of its safety or health regulations in the past five years? **NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.**
 Yes No

If "yes," attached a separate signed page describing the citations, including information about the dates of the citations, the nature of the violation, the project on which the citation(s) was or were issued, the amount of penalty paid, if any. If the citation was appealed to the Occupational Safety and Health Appeals Board and a decision has been issued, state the case number and the date of the decision.

- 25. Has the federal Occupational Safety and Health Administration cited and assessed penalties against your firm in the past five years?

NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.

Yes No

If "yes," attach a separate signed page describing each citation.

- 26. Has the EPA or any Air Quality Management District or any Regional Water Quality Control Board or any local authorities cited and assessed penalties against either your firm or the owner of a project on which your firm was the contractor, in the past five years? **NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.**

Yes No

If "yes," attach a separate signed page describing each citation.

- 27. How often do you require documented safety meetings to be held for construction employees and field supervisors during the course of a project?

- 28. How often do you conduct documented safety inspections on a construction site?
Daily _____ Weekly _____ Quarterly _____

- 29. Does your firm currently have a safety plan which complies with the current OSHA standards?
 Yes No

- 30. Have any of your subcontractors you retained ever received a serious or willful industrial safety violation during the last five (5) years?
 Yes No

If "yes," attach a separate signed page listing each subcontractor, its license number and provide a brief explanation.

H. Prevailing Wage and Apprenticeship Compliance Record

- 31. Has there been more than one occasion during the last five years in which your firm was required to pay either back wages or penalties for your own firm's failure to comply with the state's prevailing wage laws? **NOTE: This question refers only to your own firm's violation of prevailing wage laws, not to violations of the prevailing wage laws by a subcontractor.**

Yes No

If "yes," attach a separate signed page or pages, describing the nature of each violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that you were required to pay.

- 32. During the last five years, has there been more than one occasion in which your own firm has been penalized or required to pay back wages for failure to comply with the **federal** Davis-Bacon prevailing wage requirements?
 Yes No

If "yes," attach a separate signed page or pages describing the nature of the violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid, the amount of back wages you were required to pay along with the amount of any penalty paid.

- 33. Provide the **name, address and telephone number** of the apprenticeship program (approved by the California Apprenticeship Council) from whom you intend to request the dispatch of apprentices to your company for use on any public work project for which you are awarded a contract by the District.

- 34. If your firm operates its own State-approved apprenticeship program:
 - (a) Identify the craft or crafts in which your firm provided apprenticeship training in the past year.
 - (b) State the year in which each such apprenticeship program was approved, and attach evidence of the most recent California Apprenticeship Council approval(s) of your apprenticeship program(s).
 - (c) State the number of individuals who were employed by your firm as apprentices at any time during the past three years in each apprenticeship and the number of persons who, during the past three years, completed apprenticeships in each craft while employed by your firm.

- 35. At any time during the last five years, has your firm been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works?

NOTE: You may omit reference to any incident that occurred prior to January 1, 1998, if the violation was by a subcontractor and your firm, as general contractor on a project, had no knowledge of the subcontractor's violation at the time they occurred.

- Yes No

If "yes," provide the date(s) of such findings, and attach copies of the Department's final decision(s).

I. Disputes

- 36. At any time in the last five years has your firm been assessed and paid liquidated damages after completion of a project under a construction contract with either a public or private owner?
 Yes No

If yes, explain on a separate signed page, identifying all such projects by owner, owner’s address, the date of completion of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.

- 37. In the last five years has your firm, or any firm with which any of your company’s owners, officers or partners was associated, been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason?
NOTE: “Associated with” refers to another construction firm in which an owner, partner or officer of your firm held a similar position, and which is listed in response to question 1c or 1d on this form.
 Yes No

If “yes,” explain on a separate signed page. State whether the firm involved was the firm applying for pre-qualification here or another firm. Identify by name of the company, the name of the person within your firm who was associated with that company, the year of the event, the owner of the project, the project and the basis for the action.

- 38. In the last five years has your firm been denied an award of a public works contract based on a finding by a public agency that your company was not a responsible bidder?
 Yes No

If “yes,” explain on a separate signed page. Identify the year of the event, the owner, the project and the basis for the finding by the public agency.

* * * * *

NOTE: The following two questions refer only to disputes between your firm and the owner of a project. You need not include information about disputes between your firm and a supplier, another contractor, or subcontractor. You need not include information about “pass-through” disputes in which the actual dispute is between a sub-contractor and a project owner. Also, you may omit reference to all disputes about amounts of less than \$50,000.

- 39. In the past five years has any claim against your firm concerning your firm’s work on a construction project in California been filed in court or arbitration?
 Yes No

If “yes,” on separate signed sheets of paper identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

40. In the past five years has your firm made any claim against a project owner concerning work on a project in California or payment for a contract in California and **filed that claim in court or arbitration?**

Yes No

If "yes," on separate signed sheets of paper identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

J. Criminal Matters and Related Civil Suits

41. Has your firm or any of its owners, officers or partners ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?

Yes No

If "yes," explain on a separate signed page, including identifying who was involved, the name of the public agency, the date of the investigation and the grounds for the finding.

42. Has your firm or any of its owners, officers or partners ever been convicted of a crime involving any federal, state, or local law related to construction?

Yes No

If "yes," explain on a separate signed page, including identifying who was involved, the name of the public agency, the date of the conviction and the grounds for the conviction.

43. Has your firm or any of its owners, officers or partners ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?

Yes No

If "yes," identify on a separate signed page the person or persons convicted, the court (the county if a state court, the district or location of the federal court), the year and the criminal conduct.

**PLACENTIA-YORBA LINDA UNIFIED SCHOOL DISTRICT
PREQUALIFICATION QUESTIONNAIRE**

PART III. FINANCIAL INFORMATION

Audited or reviewed statements are required for all construction projects totaling over \$1,000,000 or more (A certificate of a licensed accountant will be required in all cases).

**1. COMPLETE THIS CERTIFICATE
FOR A REVIEW ONLY OF FINANCIAL STATEMENT**

We have reviewed the accompanying financial statement of _____ as of _____. The information included in the financial statement is the representation of the management of the above firm.

Based on our review with the exception of the matter(s) described in the following paragraph(s), we are not aware of any material modifications that should be made to the accompanying financial statements in order for them to be in conformity with generally accepted accounting principles.

(Type Name of Firm)

(Accountant Signature)

(Telephone Number)

(License Number)

(NOTE THIS REVIEW CONSISTS PRINCIPALLY OF INQUIRIES OF MANAGEMENT AND APPROPRIATE ANALYTICAL PROCEDURES APPLIED TO THIS FINANCIAL DATA. IT IS SUBSTANTIALLY LESS IN SCOPE THAN AN EXAMINATION IN ACCORDANCE WITH GENERALLY ACCEPTED AUDITING STANDARDS, THE OBJECTIVE OF WHICH IS THE EXPRESSION OF AN OPINION REGARDING THE FINANCIAL STATEMENTS TAKEN AS A WHOLE. ACCORDINGLY, WE HAVE NOT EXPRESSED SUCH AN OPINION.)

**PLACENTIA-YORBA LINDA UNIFIED SCHOOL DISTRICT
PREQUALIFICATION QUESTIONNAIRE**

Part III. FINANCIAL INFORMATION

Audited or reviewed statements are required for all construction projects totaling over \$1,000,000 or more (A certificate of a licensed accountant will be required in all cases)

1. COMPLETE THIS CERTIFICATE FOR AN AUDIT OF FINANCIAL STATEMENT

STATE OF: _____

We have examined the Financial Statement of _____ as of _____. Our examination was made in accordance with generally accepted auditing standards, and accordingly included such tests of the accounting records and such other auditing procedures as we considered necessary in the circumstances.

In our opinion, the accompanying financial statement included on pages _____ to _____, inclusive, sets forth fairly, in all material respects, the financial condition of _____ as of _____, in conformity with generally accepted accounting principles.

(Type Name of Firm)

(Accountant Signature)

(Telephone Number)

(License Number)

Special Note to Accountant: The above Certificate of Accountant shall not be made by any individual who is the regular employ of the individual, partnership or corporation submitting the statement; nor by any individual who is a member of the firm with more than ten percent financial interest.

**PLACENTIA-YORBA LINDA UNIFIED SCHOOL DISTRICT
PREQUALIFICATION QUESTIONNAIRE**

ACCOUNTANT'S RELEASE LETTER

By signing the form below, I authorize the Placentia-Yorba Linda Unified School District to contact our company's licensed accounting firm to verify our most recent audited or reviewed financial statement. I understand the financial statement is confidential information and is not open to public inspection.

Signature

Printed Name / Title

Company Name

Date

**PLACENTIA-YORBA LINDA UNIFIED SCHOOL DISTRICT
PREQUALIFICATION QUESTIONNAIRE**

PREQUALIFICATION STATEMENT FOR
PLACENTIA-YORBA LINDA UNIFIED SCHOOL DISTRICT

Each prospective bidder must have a current and active license at the time of the award and must submit the following information on this form.

DECLARATION

I, _____(printed name) hereby declare that I am the _____(title) of _____(name of bidder) submitting this Prequalification Statement; that I am duly authorized to execute this Prequalification Statement on behalf of the above-named company; and that all information set forth in this Prequalification Statement and all attachments hereto are, to the best of my knowledge, true, accurate, and complete as of its submission date. I acknowledge that any false statements, inaccuracies or failure to disclose are grounds for disqualification. In executing this Declaration, I hereby permit the Placentia-Yorba Linda Unified School District to contact any owner, school district, contractor, subcontractor, supplier or any other party that the Placentia-Yorba Linda Unified School District deems relevant for purposes of verifying or gathering any information necessary to complete the evaluation of this pre-qualification submittal.

I declare, under penalty of perjury, that the foregoing is true and correct and that this declaration was
Subscribed at _____ (location and city), County of _____, State of _____, on _____(date).

(Signature)

(If signed by other than the sole proprietor, a general partner, or corporate officer, attach original notarized power of attorney or corporate resolution.)

All information submitted for prequalification evaluation will be considered official information acquired in confidence and the District will maintain its confidentiality to the extent permitted by law.

EXHIBIT "B"**ANNOUNCEMENT OF PRE-QUALIFICATION PROCEDURES FOR CONSTRUCTION CONTRACTORS**

Notice is hereby given by the Placentia-Yorba Linda Unified School District ("District") that prime or general contractors and mechanical, electrical and plumbing ("MEP") subcontractors (as defined in Public Contract Code section 20111.6) planning to participate in bidding on certain public projects to be undertaken by the District, must be pre-qualified prior to submitting bids for such public projects. Pursuant to Public Contract Code Section 20111.6 bidders on all public projects using funds received pursuant to the Leroy F. Greene School Facilities Act of 1998 or any funds from any future state school bond that involves a projected public project expenditure of one million dollars (\$1,000,000) or more, must be pre-qualified.

Prequalification application packages are available on the District's www.pylusd.org or at the District's main office.

Timeline

In order to submit a valid bid for any project requiring pre-qualification, prospective bidders must submit a pre-qualification questionnaire, a financial statement, and a standardized bidding form at least ten (10) business days prior to the date fixed for the public opening of sealed bids. All prospective bidders must be deemed pre-qualified to bid at least five (5) business days prior to that date.

This prequalification packet includes a questionnaire and financial statement, to be verified under oath. The District will use the information and documentation required by the packet to prequalify general contractors and MEP subcontractors in accordance with Public Contract Code section 20111.6.

Questionnaire

All questions in the questionnaire must be answered. If a question is not applicable, then indicate a response of "N/A". "You" or "Yours" as used herein refers to the prospective bidders' firm and any of its owners, officers, principals and qualifying individuals. Any references to owners, officer, principals or partners herein shall include any qualifying individuals including any RME or RMO. If two or more business entities submit a bid on a project as a Joint Venture, or expect to submit a bid as part of a Joint Venture, each entity within the Joint Venture must be separately qualified to bid.

Each questionnaire must be signed under penalty of perjury in the manner designated at the end of the form, by an individual who has the legal authority to bind the contractor on whose behalf that person is signing. If any information provided by a prospective bidder becomes inaccurate, the prospective bidder must immediately notify the District and provide updated accurate information in writing, under penalty of perjury. Each prospective bidder shall have a duly authorized owner, officer or principal complete the questionnaire and verify the truth of the information provided therein and in the financial statement.

Financial Statement

In addition to completing the attached questionnaire, each prospective bidder must submit its most current reviewed or year-end audited financial statement, which must have been prepared by a certified public accountant within twelve (12) months of each prospective bidder's submission of the

prequalification package. Each prospective bidder must also provide its most current financial statement, which must have been prepared within three (3) months of each prospective bidder's submission of the prequalification package. Finally, each prospective bidder must submit a notarized statement from an admitted surety insurer (approved by the California Department of Insurance and authorized to issue bonds in the State of California) which states your current bonding capacity.

Financial statements submitted with this prequalification package shall not be prepared by any individual who is in the regular employ of the firm submitting the statement, nor by any individual or entity who has more than a ten percent (10%) financial interest in the firm's business. If the individual or entity that prepared a financial statement submitted with this prequalification package has any financial interest in the firm's business, the firm shall notify the District of such financial interest in a separate signed statement accompanying this prequalification package.

Submission

Prospective bidders are encouraged to submit prequalification packages as soon as possible, so that they may be notified of prequalification status well in advance of the bid process. The prequalification packages should be sealed, marked "**CONFIDENTIAL PREQUALIFICATION**," and mailed to the following:

Rick Guaderrama, Executive Director, Maintenance, Facilities and Construction
1301 E. Orangethorpe Ave
Placentia, CA 92870

The questionnaire answers and financial statements included in the prequalification packages submitted by prospective bidders are not public records and are not open to public inspection. All such information provided will be kept confidential to the extent permitted by law, although the contents may be disclosed to third parties for the purpose of verification, investigation of substantial allegations, and in the process of any subsequent proceedings. State law requires that the names of contractors applying for prequalification status shall be public records subject to disclosure, and the first page of the questionnaire will be used for that purpose.

Evaluation by the District

The District will evaluate the information provided and issue each submitting prospective bidder a rating of "Prequalified" or "Not Prequalified." The District's decision will be based on the information provided, references, and such additional outside information as the District in its discretion deems reasonable and necessary to obtain. The District may, but is not obligated to, investigate the truth of any statements or information provided by a prospective bidder in response hereto.

Prequalification approval will remain valid for one (1) calendar year from the date of notice of qualification, except that the District reserves the right during that calendar year to adjust, increase, limit, suspend or rescind the pre-qualification ratings based on subsequently learned information and after giving notice of the proposed action to the prospective bidder and an opportunity for a hearing consistent with the hearing procedures described below for appealing a pre-qualification determination.

While it is the intent of the prequalification questionnaire and documents required herein to assist the District in determining bidder responsibility prior to the submission of bids and to aid the District in

selecting the lowest responsible bidder, neither the fact of prequalification, nor any prequalification rating, will preclude the District from a post-bid consideration and determination on a specific project of whether a bidder has the quality, fitness, capacity and experience to satisfactorily perform the proposed work, and has demonstrated the requisite trustworthiness.

Appeal

A prospective bidder who has timely submitted a completed application form, and who receives a rating of "Not Prequalified" from the District may appeal that determination. There is no appeal from a finding that a prospective bidder is not prequalified because of a late application or a failure to submit required information. A prospective bidder may appeal the District's decision with respect to its request for prequalification, by giving written notice to the District no later than five (5) business days after receipt of notice of its qualification status. Notice shall be sent to the address listed above. Unless a prospective bidder files a timely appeal, the prospective bidder waives any and all rights to challenge the qualification decision of the District, whether by administrative process, judicial process or any other legal process or proceeding. The District reserves the right to resolve appeals before or after bid opening or award of any contract. The date for submission and opening of bids for a specific project and any subsequent contract award will not be delayed or postponed to allow for completion of an appeal process.

After receipt of a request for appeal, the District will provide the prospective bidder any supporting evidence that has been received from others or adduced as a result of an investigation by the District. The appealing prospective bidder will be provided an opportunity to rebut any evidence. The District, in its sole discretion, may conduct a hearing regarding the prospective bidder's timely appeal. If such a hearing is conducted, the prospective bidder consents to the District's Superintendent, or his or her designee, to act as the hearing officer.

Bidding

Bids will not be accepted from any prospective bidder that did not timely submit a completed prequalification questionnaire and supporting documents, including financial statements, to the District. Omission of requested information, falsification of information, or failing to use the forms provided by the District may result in a finding of "not prequalified".

MEP subcontractors (licensed pursuant to Section 7058 of the Business and Professions Code, specifically contractors holding C-4, C-7, C-10, C-16, C-20, C-34, C-36, C-38, C-42, C-43, and C-46 licenses) must also meet prequalification requirements on all projects using funds received pursuant to the Leroy F. Greene School Facilities Act of 1998 or any funds from any future state school bond that involves a projected expenditure of one million dollars (\$1,000,000) or more. If a project includes electrical, mechanical, or plumbing components that will be performed by MEP subcontractors, a list of prequalified general contractors and MEP subcontractors will be made available by the District to all bidders at least five (5) business days prior to the dates fixed for the public opening of sealed bids.

If a general contractor uses any MEP subcontractor required for any project, such MEP subcontractor must be prequalified pursuant to these prequalification forms and requirements. If a MEP subcontractor in any general contractor bid is not prequalified, that bid will not be accepted.

Prospective bidders are warned that a project may have specific requirements that differ or are in addition to being properly prequalified pursuant to these documents. A determination that a contractor or MEP subcontractor is prequalified pursuant to these documents does not automatically mean a contractor or MEP subcontractor meets all of the requirements of a specific project. Prospective bidders are instructed to carefully review the requirements for each project before submitting a bid.

The District reserves the right to amend the prequalification packet at any time. The District reserves the right to waive minor irregularities and omissions in the information contained in the prequalification application submitted and to make all final determinations. Additionally, a determination by the District that a prospective bidder is prequalified does not amount to a final determination that such prospective bidder is responsible or responsive for purposes of bid evaluation. The District may, in accordance with applicable law reject a prequalified contractor's bid, and the District may additionally reject all bids if it determines such action is in the best interest of the public.

**PLACENTIA-YORBA LINDA UNIFIED SCHOOL DISTRICT
PREQUALIFICATION QUESTIONNAIRE**

IMPORTANT INFORMATION: Please note: Declaration under penalty of perjury (last page of questionnaire) is required under State law. Failure to sign form will invalidate the prequalification packet. A passing score in general for prequalification does not guarantee that bidder will be eligible to bid this particular project. Contractors will receive a separate determination as to the overall prequalification dollar limit.

CONTACT INFORMATION

Contractor _____
(as it appears on license)

Check one of the following:

- Corporation
- Partnership
- Sole Proprietorship
- Joint Venture

Contact Person: _____

Address (City, State, Zip): _____

Phone: _____ Fax: _____

Email: _____ Company Website: _____

Contractor's License Number(s) and Types (Please include expiration date):

Tax ID Number _____

If firm is a sole proprietor or partnership:

Owner(s) of Company _____

Company Union Status: Union Non-Union

Minority Status: MBE DBE DVBE SBE DBE

Other Minority Certification: _____

**PLACENTIA-YORBA LINDA UNIFIED SCHOOL DISTRICT
PREQUALIFICATION QUESTIONNAIRE**

PART I. ESSENTIAL REQUIREMENTS FOR QUALIFICATION

Contractor will be immediately disqualified if the answer to any of questions 1 through 6 is "no."¹

To Contractors seeking prequalification as a subcontractor only: You must respond to all Part I questions, however your response to Part I, Question Number 5 will not be rated.

Contractor will be immediately disqualified if the answer to any of questions 7, 8, 9 or 10 is "yes."² If the answer to question 9 is "yes," and if debarment would be the sole reason for denial of pre-qualification, any pre-qualification issued will exclude the debarment period.

1. Contractor possesses a valid and current California Contractor's license for the project or projects for which it intends to submit a bid.
 Yes No

2. Contractor has a liability insurance policy with a policy limit of at least \$1,000,000 per occurrence and \$2,000,000 aggregate.
 Yes No **(Please provide a current Certificate of Insurance as verification)**

3. Contractor has current workers' compensation insurance policy as required by the Labor Code or is legally self-insured pursuant to Labor Code section 3700 *et. seq.*
 Yes No Contractor is exempt from this requirement, because it has no employees

4. Have you attached your latest copy of a reviewed or audited financial statement with accompanying notes and supplemental information.³
 Yes No

NOTE: A financial statement that is not either reviewed or audited is not acceptable. A letter verifying availability of a line of credit may also be attached; however, it will be considered as supplemental information only, and is not a substitute for the required financial statement.

5. Have you attached a notarized statement from an admitted surety insurer (approved by the California Department of Insurance) and authorized to issue bonds in the State of California, which states: (a) that your current bonding capacity is sufficient for the project for which you seek pre-

¹ A "no" answer to Question 4 will not be disqualifying if the contractor is exempt from complying with Question 4, for reasons explained in footnote 3.

² A contractor disqualified solely because of a "Yes" answer given to question 7, 8, or 10 may appeal the disqualification and provide an explanation of the relevant circumstances during the appeal procedure.

³ Public Contract Code section 20101(e) exempts from this requirement a contractor who has qualified as a small business pursuant to Government Code section 14837(d)(1), if the bid is "no more than 25 per cent of the qualifying amount provided in section 14837(d)(1)." As of August 12, 2013, the qualifying amount is \$10 million, and 25 per cent of that amount, therefore, is \$2.5 million.

qualification if you are seeking pre-qualification for a single project; or (if you are seeking pre-qualification valid for a year) (b) your current available bonding capacity?⁴

Yes No

NOTE: Notarized statement must be from the surety company, not an agent or broker. Contractors seeking prequalification as a subcontractor only: You must respond to this question, however you will not be rated according to your response.

6. Are you currently registered with the Department of Industrial Relations in accordance with Labor Code section 1725.5?

Yes No

If yes, what is your registration number: _____.
Please submit proof of registration.

7. Has your contractor's license been revoked at any time in the last five years?

Yes No

8. Has a surety firm completed a contract on your behalf, or paid for completion because your firm was default terminated by the project owner within the last five (5) years?

Yes No

9. At the time of submitting this pre-qualification form, is your firm ineligible to bid on or be awarded a public works contract, or perform as a subcontractor on a public works contract, pursuant to either Labor Code section 1777.1 or Labor Code section 1777.7?

Yes No

If the answer is "Yes," state the beginning and ending dates of the period of debarment:

10. At any time during the last five years, has your firm, or any of its owners or officers been convicted of a crime involving the awarding of a contract of a government construction project, or the bidding or performance of a government contract?

Yes No

⁴ An additional notarized statement from the surety may be requested by Placentia-Yorba Linda Unified School District at the time of submission of a bid, if this pre-qualification package is submitted more than 60 days prior to submission of the bid.

**PLACENTIA-YORBA LINDA UNIFIED SCHOOL DISTRICT
PREQUALIFICATION QUESTIONNAIRE**

PART II. ORGANIZATION, HISTORY, ORGANIZATIONAL PERFORMANCE, COMPLIANCE WITH CIVIL AND CRIMINAL LAWS

A. Current Organization and Structure of the Business

For Firms That Are Corporations:

- 1a. Date incorporated: _____
- 1b. Under the laws of what state: _____
- 1c. Provide all the following information for each person who is either (a) an officer of the corporation (president, vice president, secretary, treasurer), or (b) the owner of at least ten per cent of the corporation's stock.

Name	Position	Years with Co.	% Ownership	Last four Social Security *

- 1d. Identify every construction firm that any person listed above has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.

NOTE: For this question, "owner" and "partner" refer to ownership of ten per cent or more of the business, or 10 per cent or more of its stock, if the business is a corporation.

Person's Name	Construction Firm	Dates of Person's Participation with Firm

For Firms That Are Partnerships:

- 1a. Date of formation: _____
- 1b. Under the laws of what state: _____
- 1c. Provide all the following information for each partner who owns 10 per cent or more of the firm.

Name	Position	Years with Co.	% Ownership	Last four Social Security #'s

*last four numbers of Social Security is suitable.

- 1d. Identify every construction company that any partner has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.

NOTE: For this question, "owner" and "partner" refer to ownership of ten per cent or more of the business, or ten per cent or more of its stock, if the business is a corporation.

Person's Name	Construction Company	Dates of Person's Participation with Company

For Firms That Are Sole Proprietorships:

- 1a. Date of commencement of business. _____
 1b. Social security number of company owner. _____
 1c. Identify every construction firm that the business owner has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.

NOTE: For this question, "owner" and "partner" refer to ownership of ten per cent or more of the business, or ten per cent or more of its stock, if the business is a corporation.

Person's Name	Construction Company	Dates of Person's Participation with Company

For Firms That Intend to Make a Bid as Part of a Joint Venture:

- 1a. Date of commencement of joint venture. _____
 1b. Provide all of the following information for each firm that is a member of the joint venture that expects to bid on one or more projects:

1.1.1.2.Name of firm	% Ownership of Joint Venture

B. History of the Business and Organizational Performance

2. How many years has your organization completed work for public agencies? Years: _____
6 or more years = 5
5 years = 4
4 years = 3
3 years = 2
1 full year = 1

3. Has your organization ever been licensed in California under a different name or different license number?
 Yes No

If "yes," please list all name(s) and license number(s), on a separate signed page.

No = 3; Yes = (Adjustment to 2 if reason for different name or different license number is a because of a legal merger.)

4. Are you currently pre-qualified with any other school district?

Yes No

If yes, please identify the school district(s), with contact information and the dollar rating that you have been pre-qualified for at such school district(s), on a separate signed page.

No = 0; Yes = 3

5. Has there been any change in ownership of the firm at any time during the last three years?

NOTE: A corporation whose shares are publicly traded is not required to answer this question.

Yes No

If "yes," explain on a separate signed page.

No = 3; Yes = 0

6. Is the firm a subsidiary, parent, holding company or affiliate of another construction firm?

NOTE: Include information (including license number(s) about other firms if one firm owns 50 per cent or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.

Yes No

If "yes," explain on a separate signed page. Please include all name(s) and license number(s)

No = 3; Yes = 0 (Adjustment to 2 if reason firm is a subsidiary, parent, holding company or affiliate of another construction firm is because of a legal merger.)

7. Are any corporate officers, partners or owners connected to any other construction firms?

NOTE: Include information about other firms if an owner, partner, or officer of your firm holds a similar position in another firm.

Yes No

If "yes," explain on a separate signed page.

No = 3; Yes = 0 (Adjustment to 2 if the name of other construction firms corporate officers, partners or owners are connected to, are connected to current applicant firm by way of legal merger.)

List your firm's gross revenues for each of the last three years:

Year: _____ \$ _____

Year: _____ \$ _____

Year: _____ \$ _____

8. How many years has your organization been in business in California as a contractor under your present business name and license number? _____ years

- 6 or more years = 5**
- 5 years = 4**
- 4 years` = 3**
- 2-3 years = 2**
- 1 full year = 1**

9. Is your firm currently the debtor in a bankruptcy case?
 Yes No

If "yes," please attach a copy of the bankruptcy petition, showing the case number, and the date on which the petition was filed.

No = 3; Yes = 0

10. If your firm is not currently in bankruptcy, was your firm in bankruptcy at any time during the last five years?

Yes No

If "yes," please attach a copy of the bankruptcy petition, showing the case number and the date on which the petition was filed, and a copy of the Bankruptcy Court's discharge order, or of any other document that ended the case, if no discharge order was issued.

In what type of construction do you specialize: _____

No = 3; Yes = 0

What was the largest amount of work completed in one year: _____

Number of projects: _____ Year: _____ Amount of Largest Project: _____

C. Licenses

List all California construction license numbers, classifications and expiration dates of the California contractor licenses held by your firm:

If any of your firm's license(s) are held in the name of a corporation or partnership, list below the names of the qualifying individual(s) listed on the CSLB records who meet(s) the experience and examination requirements for each license.

11. Has your firm changed names or license number in the past five years?
 Yes No

If "yes," explain on a separate signed page, including the reason for the change.

No = 3; Yes = 0 (Adjustment to 2 if reason for changed name or license number because of a legal merger)

- 12. Has any owner, partner or (for corporations) officer of your firm operated a construction firm under any other name in the last five years?

Yes No

If "yes," explain on a separate signed page, including the reason for the change.

No = 3; Yes = 0 (Adjustment to 2 if name of other firm operated by any owner, partner or (for corporations) officer was or is a firm that legally merged with current applicant firm.)

- 13. Has any CSLB license held by your firm or its Responsible Managing Employee (RME) or Responsible Managing Officer (RMO) been suspended within the last five years?

Yes No

If "yes," please explain on a separate signed sheet.

No = 5; Yes = 0

D. Surety and Bonding Information

Bonding capacity: **Please provide a letter stating bondability from current surety company.** Also, please provide documentation from your surety (not agency) identifying the following: **Note: Contractors seeking prequalification as a subcontractor only: You must respond to this question, however you will not be rated according to your response.**

Name of bonding company/surety: _____

Name of surety agent/contact person, address and telephone number:

- 14. If your firm was required to pay a premium of more than one per cent for a performance and payment bond on any project(s) on which your firm worked at any time during the last three years, state the percentage that your firm was required to pay. You may provide an explanation for a percentage rate higher than one per cent, if you wish to do so. **Note: Contractors seeking prequalification as a subcontractor only: You must respond to this question, however you will not be rated according to your response.**

No = 5
Over 1% but not over 1.25% = 4
Over 1.25% but not over 1.5% = 3
Over 1.5% = 0

(Note: Contractors seeking prequalification as a subcontractor only: Response will not be rated.)

List all other sureties, not agencies (name, contact person, full address, phone number, largest bond obtained) that have written bonds for your firm during the last five years, including the dates during which each wrote the bonds (If necessary, list on separate signed sheet) **Note: Contractors seeking prequalification as a subcontractor only: You must respond to this question, however you will not be rated according to your response.:**

15. During the last five years, has your firm ever been denied bond coverage by a surety company, or has there ever been a period of time when your firm had no surety bond in place during a public construction project when one was required? **Note: Contractors seeking prequalification as a subcontractor only: You must respond to this question, however you will not be rated according to your response.**

Yes No

If yes, provide details on a separate signed sheet indicating the date when your firm was denied coverage and the name of the company or companies which denied coverage; and the period during which you had no surety bond in place.

No = 5; Yes = 0

(Note: Contractors seeking prequalification as a subcontractor only: Response will not be rated.)

16. At any time during the past five years, has any surety company made any payments on your firm's behalf as a result of a default, to satisfy any claims made against a performance or payment bond issued on your firm's behalf, in connection with a construction project, either public or private?

Note: Contractors seeking prequalification as a subcontractor only: You must respond to this question, however you will not be rated according to your response.

Yes No

If "yes," explain on a separate signed page the amount of each such claim, the name and telephone number of the claimant, the date of the claim, the grounds for the claim, the present status of the claim, the date of resolution of such claim if resolved, the method by which such was resolved if resolved, the nature of the resolution and the amount, if any, at which the claim was resolved.

No=5
Yes (1 claim) = 5
Yes (2 claims) = 3
Yes (more than 2 claims) = SUBTRACT 5 points

(Note: Contractors seeking prequalification as a subcontractor only: Response will not be rated.)

17. Has your firm ever failed to complete a contract?

Yes No

If "yes," explain on a separate signed page.

No = 3; Yes = 0

How many projects is your firm currently bonded for? _____

What are the contract amounts your firm has currently bonded?

Contracts	Amount Bonded

E. Insurance

Insurance Company: _____

Address: _____

City, State, Zip: _____

Phone Number: _____ Facsimile Number: _____

Contact Person: _____

18. How long have you been with this insurance company? _____

5 or more years = 3

2 to 4 years = 1

1 year or less = 0

If less than two years, please list prior insurance companies below:

Insurance Company	Address	Phone	Contact Person	Year(s)

19. In the last five years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for your firm?
 Yes No

If "yes," explain on a separate signed page. Name the insurance carrier, the form of insurance and the year of the refusal.

No = 5
Yes (1 instance) = 5
Yes (2 instances) = 3
Yes (more than 2 instances) = 0

20. Has your organization ever had insurance terminated by a carrier in the past five (5) years due to an excessive claims history and/or nonpayment of premium?

If "yes," explain on a separate signed sheet. Name the insurance carrier, the form of insurance, and year of termination.

No = 3; Yes = 0

F. Safety Program & Workers' Compensation

Each bidder shall submit a copy of the Table of Contents from bidder's established safety/injury prevention program which complies with the Contract Documents and all applicable governmental regulations. After determination of the successful bidder, its subcontractors must submit a copy of their respective safety/injury prevention programs within five (5) days of the District's request.

Bidder: _____

Signature: _____

By: _____

Date: _____

Each bidder shall submit its workers' compensation experience modification factor. After determination of the successful bidder, its subcontractors must submit their workers compensation modification factor within five (5) days of District's request.

- 21. List your firm’s Experience Modification Rate (EMR) (California Workers’ Compensation Insurance) for each of the past premium years:

Current Year: _____

Previous Year: _____

Year Prior to Previous Year: _____

If your EMR for any of these three years is or was 1.25 or higher you may, if you wish, attach a letter of explanation.

3 year average EMR of .95 or less = 5 points
3 year average EMR of over .95 but no more than 1.0 = 3 points
Over 1.0 = 0

- 22. Within the last five years has there ever been a period when your firm had employees but was without workers’ compensation insurance or state-approved self-insurance?

Yes No

If “yes,” please explain the reason for the absence of workers’ compensation insurance on a separate signed page. If “No,” please provide a statement by your current workers’ compensation insurance carrier that verifies periods of workers’ compensation insurance coverage for the last five years. (If your firm has been in the construction business for less than five years, provide a statement by your workers’ compensation insurance carrier verifying continuous workers’ compensation insurance coverage for the period that your firm has been in the construction business.)

No = 5
Yes (1 instance) = 5
Any other answer = 0

G. Compliance with Occupational Safety and Health Laws and with Other Labor Legislation Safety

Each bidder, and its subcontractors, must declare any serious or willful violations of Part 1 (commencing with Section 6300) of Division 5 of the California Labor Code received during the last five years. This information must include all construction work undertaken in the United States by the bidder and any affiliate of the bidder. Separate information shall be submitted for each particular partnership, joint venture, corporation, Limited Liability Company or individual bidder or subcontractor. The bidder or its subcontractors may be requested to submit additional information or explanation of data, which District may require for evaluating the safety record. The term “affiliate” shall mean any firm, corporation, partnership, joint venture, limited liability company or association which is a member, joint venturer or partner of the bidder, or any such entity which owns a substantial interest in, or is owned in common with, the bidder, its subcontractor or any of its members, joint venturers or partners, or any such entity in which the bidder, its subcontractor, or any of their members, joint venturers or partners own a substantial interest.

- 23. Has your firm ever received a serious or willful safety violation during the last five (5) years?

Yes No

If "yes," attached a separate signed page describing the violation, including information about the dates, the nature of the violation, the project on which the citation(s) was or were issued, the amount of penalty paid, if any.

No = 3

Yes =1

Yes =0 (more than 1 occasion)

- 24. Has CAL OSHA cited and assessed penalties against your firm for any "serious," "willful" or "repeat" violations of its safety or health regulations in the past five years? **NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.**

Yes No

If "yes," attached a separate signed page describing the citations, including information about the dates of the citations, the nature of the violation, the project on which the citation(s) was or were issued, the amount of penalty paid, if any. If the citation was appealed to the Occupational Safety and Health Appeals Board and a decision has been issued, state the case number and the date of the decision.

If the firm's average gross revenues for the last three years was less than \$50 million, scoring is as follows:

No = 5

Yes = (1 instance) = 5

Yes = (2 instance) = 3

Yes = (more than 2 instances) = 0

If the firm's average gross revenues for the last three years was more than \$50 million, scoring is as follows:

No = 5

Yes (1, 2, or 3 such instances) = 5

Yes (4 or 5 such instances) = 3

Yes (more than 5 instances) = 0

- 25. Has the federal Occupational Safety and Health Administration cited and assessed penalties against your firm in the past five years? **NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.**

Yes No

If "yes," attach a separate signed page describing each citation.

If the firm's average gross revenues for the last three years was less than \$50 million, scoring is as follows:

No=5

Yes= (1 instance) = 5

Yes (2 instances) = 3

Yes (more than 2 instances) = 0

If the firm's average gross revenues for the last three years was more than \$50 million, scoring is as follows:

- No = 5**
- Yes (1, 2, or 3 such instances) = 5**
- Yes (4 or 5 such instances) = 3**
- Yes (more than 5 instances) = 0**

26. Has the EPA or any Air Quality Management District or any Regional Water Quality Control Board or any local authorities cited and assessed penalties against either your firm or the owner of a project on which your firm was the contractor, in the past five years? **NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.**
- Yes No

If "yes," attach a separate signed page describing each citation.

If the firm's average gross revenues for the last three years was less than \$50 million, scoring is as follows:

- No = 5**
- Yes= (1 instance) = 5**
- Yes (2 instances) = 3**
- Yes (more than 2 instances) = 0**

If the firm's average gross revenues for the last three years was more than \$50 million, scoring is as follows:

- No = 5**
- Yes (1, 2, or 3 such instances) = 5**
- Yes (4 or 5 such instances) = 3**
- Yes (more than 5 instances) = 0**

27. How often do you require documented safety meetings to be held for construction employees and field supervisors during the course of a project?

- Meeting once a week or more = 3**
- Any other = 0**

28. How often do you conduct documented safety inspections on a construction site?
Daily _____ Weekly _____ Quarterly _____

- Meeting daily = 3**
- Meeting weekly = 1**
- Any other = 0**

29. Does your firm currently have a safety plan which complies with the current OSHA standards?
 Yes No

Yes = 3; No = 0

- 30. Have any of your subcontractors you retained ever received a serious or willful industrial safety violation during the last five (5) years?
 Yes No

If "yes," attach a separate signed page listing each subcontractor, its license number and provide a brief explanation.

No = 3; Yes = 1 (1 occasion); Yes = 0 (more than 1 occasion)

H. Prevailing Wage and Apprenticeship Compliance Record

- 31. Has there been more than one occasion during the last five years in which your firm was required to pay either back wages or penalties for your own firm's failure to comply with the state's prevailing wage laws? **NOTE: This question refers only to your own firm's violation of prevailing wage laws, not to violations of the prevailing wage laws by a subcontractor.**
 Yes No

If "yes," attach a separate signed page or pages, describing the nature of each violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that you were required to pay.

If the firm's average gross revenues for the last three years was less than \$50 million, scoring is as follows:

***No = 5;
 Yes (1 or 2 such instances) = 5;
 Yes (3 instances) = 3
 Yes (more than 3 instances) = 0***

If the firm's average gross revenues for the last three years was more than \$50 million, scoring is as follows:

***No = 5;
 Yes (no more than 4 instances) = 5;
 Yes (5 or 6 instances) = 3
 Yes (more than 6 instances) = 0***

- 32. During the last five years, has there been more than one occasion in which your own firm has been penalized or required to pay back wages for failure to comply with the **federal** Davis-Bacon prevailing wage requirements?
 Yes No

If "yes," attach a separate signed page or pages describing the nature of the violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid, the amount of back wages you were required to pay along with the amount of any penalty paid.

If the firm's average gross revenues for the last three years was less than \$50 million, scoring is as follows:

- No = 5***
- Yes (1 or 2 such instances) = 5***
- Yes (3 instances) = 3***
- Yes (more than 3 instances) = 0***

If the firm's average gross revenues for the last three years was more than \$50 million, scoring is as follows:

- No = 5***
- Yes (no more than 4 instances) = 5***
- Yes (5 or 6 instances) = 3***
- No=5; Yes (1 or 2 such instances) = 5; Yes (3 instances) = 3***
- Yes (more than 3 instances) = 0***

- 33. Provide the **name, address and telephone number** of the apprenticeship program (approved by the California Apprenticeship Council) from whom you intend to request the dispatch of apprentices to your company for use on any public work project for which you are awarded a contract by the District.

If at least one approved apprenticeship program listed = 5 points
Any other answer = 0

- 34. If your firm operates its own State-approved apprenticeship program:
 - (a) Identify the craft or crafts in which your firm provided apprenticeship training in the past year.
 - (b) State the year in which each such apprenticeship program was approved, and attach evidence of the most recent California Apprenticeship Council approval(s) of your apprenticeship program(s).
 - (c) State the number of individuals who were employed by your firm as apprentices at any time during the past three years in each apprenticeship and the number of persons who, during the past three years, completed apprenticeships in each craft while employed by your firm.

If one or more persons completed an approved apprenticeship program while employed by the firm = 5 points;

If no persons completed an approved apprenticeship while employed by the firm = 0 points

35. At any time during the last five years, has your firm been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works? **NOTE: You may omit reference to any incident that occurred prior to January 1, 1998, if the violation was by a subcontractor and your firm, as general contractor on a project, had no knowledge of the subcontractor’s violation at the time they occurred.**

Yes No

If “yes,” provide the date(s) of such findings, and attach copies of the Department’s final decision(s)

If the firm’s average gross revenues for the last three years was less than \$50 million, scoring is as follows:

- No = 5**
- Yes (1 or 2 such instances) = 5**
- Yes (3 instances) = 3**
- Yes (more than 3 instances) = 0**

If the firm’s average gross revenues for the last three years was more than \$50 million, scoring is as follows:

- No = 5**
- Yes (no more than 4 such instances) = 5**
- Yes (5 or 6 such instances) = 3**
- Yes (more than 6 instances) = 0**

I. Disputes

36. At any time in the last five years has your firm been assessed and paid liquidated damages after completion of a project under a construction contract with either a public or private owner?

Yes No

If yes, explain on a separate signed page, identifying all such projects by owner, owner’s address, the date of completion of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.

- No projects with liquidated damages of more than \$50,000 = 5 points**
- One project with liquidated damages = 5 points**
- Two projects with liquidated damages of more than \$50,000= 3 points**
- Any other answer = 0 points**

37. In the last five years has your firm, or any firm with which any of your company’s owners, officers or partners was associated, been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason? **NOTE: “Associated with” refers to another construction firm in which an owner, partner or officer of your firm held a similar position, and which is listed in response to question 1c or 1d on this form.**

Yes No

If “yes,” explain on a separate signed page. State whether the firm involved was the firm applying for pre-qualification here or another firm. Identify by name of the company, the name of the person within your firm who was associated with that company, the year of the event, the owner of the project, the project and the basis for the action.

No = 5; Yes = 0

- 38. In the last five years has your firm been denied an award of a public works contract based on a finding by a public agency that your company was not a responsible bidder?
 Yes No

If "yes," explain on a separate signed page. Identify the year of the event, the owner, the project and the basis for the finding by the public agency.

No = 5

Yes = 0

* * * * *

NOTE: The following two questions refer only to disputes between your firm and the owner of a project. You need not include information about disputes between your firm and a supplier, another contractor, or subcontractor. You need not include information about "pass-through" disputes in which the actual dispute is between a sub-contractor and a project owner. Also, you may omit reference to all disputes about amounts of less than \$50,000.

- 39. In the past five years has any claim **against** your firm concerning your firm's work on a construction project in California been **filed in court or arbitration**?
 Yes No

If "yes," on separate signed sheets of paper identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

If the firm's average gross revenues for the last three years was less than \$50 million, scoring is as follows:

No = 5

Yes (1 such instances) = 5

Yes (2 instances) = 3

Yes (more than 2 instances) = 0

If the firm's average gross revenues for the last three years was more than \$50 million, scoring is as follows:

No = 5

Yes (1, 2, or 3 such instances) = 5

Yes (4 or 5 such instances) = 3

Yes (more than 5 instances) = 0

- 40. In the past five years has your firm made any claim against a project owner concerning work on a project in California or payment for a contract in California and **filed that claim in court or arbitration**?
 Yes No

If "yes," on separate signed sheets of paper identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

If the firm’s average gross revenues for the last three years was less than \$50 million, scoring is as follows:

- No = 5**
- Yes (1 such instance) = 5**
- Yes (2 instances) =3**
- Yes (more than 2 instances) =0**

If the firm’s average gross revenues for the last three years was more than \$50 million, scoring is as follows:

- No = 5**
- Yes (1, 2, or 3 such instances) = 5**
- Yes (4, or 5 such instances) =3**
- Yes (more than 5 instances) =0**

J. Criminal Matters and Related Civil Suits

- 41. Has your firm or any of its owners, officers or partners ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?
 Yes No

If “yes,” explain on a separate signed page, including identifying who was involved, the name of the public agency, the date of the investigation and the grounds for the finding.

No = 5; Yes = SUBTRACT 5 points

- 42. Has your firm or any of its owners, officers or partners ever been convicted of a crime involving any federal, state, or local law related to construction?
 Yes No

If “yes,” explain on a separate signed page, including identifying who was involved, the name of the public agency, the date of the conviction and the grounds for the conviction.

No = 5; Yes = SUBTRACT 5 points

- 43. Has your firm or any of its owners, officers or partners ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?
 Yes No

If “yes,” identify on a separate signed page the person or persons convicted, the court (the county if a state court, the district or location of the federal court), the year and the criminal conduct.

No = 5; Yes = SUBTRACT 5 points

**PLACENTIA-YORBA LINDA UNIFIED SCHOOL DISTRICT
PREQUALIFICATION QUESTIONNAIRE**

PART III. FINANCIAL INFORMATION

Audited or reviewed statements are required for all construction projects totaling over \$1,000,000 or more (A certificate of a licensed accountant will be required in all cases) t.

**1. COMPLETE THIS CERTIFICATE
FOR A REVIEW ONLY OF FINANCIAL STATEMENT**

We have reviewed the accompanying financial statement of _____
_____ as of _____. The information included in
the financial statement is the representation of the management of the above firm.

Based on our review with the exception of the matter(s) described in the following paragraph(s), we are not aware of any material modifications that should be made to the accompanying financial statements in order for them to be in conformity with generally accepted accounting principles.

(Type Name of Firm)

(Accountant Signature)

(Telephone Number)

(License Number)

(NOTE THIS REVIEW CONSISTS PRINCIPALLY OF INQUIRIES OF MANAGEMENT AND APPROPRIATE ANALYTICAL PROCEDURES APPLIED TO THIS FINANCIAL DATA. IT IS SUBSTANTIALLY LESS IN SCOPE THAN AN EXAMINATION IN ACCORDANCE WITH GENERALLY ACCEPTED AUDITING STANDARDS, THE OBJECTIVE OF WHICH IS THE EXPRESSION OF AN OPINION REGARDING THE FINANCIAL STATEMENTS TAKEN AS A WHOLE. ACCORDINGLY, WE HAVE NOT EXPRESSED SUCH AN OPINION.)

**PLACENTIA-YORBA LINDA UNIFIED SCHOOL DISTRICT
PREQUALIFICATION QUESTIONNAIRE**

Part III. FINANCIAL INFORMATION

Audited or reviewed statements are required for all construction projects totaling over \$1,000,000 or more (A certificate of a licensed accountant will be required in all cases)

1. COMPLETE THIS CERTIFICATE FOR AN AUDIT OF FINANCIAL STATEMENT

STATE OF: _____

We have examined the Financial Statement of _____ as of _____. Our examination was made in accordance with generally accepted auditing standards, and accordingly included such tests of the accounting records and such other auditing procedures as we considered necessary in the circumstances.

In our opinion, the accompanying financial statement included on pages _____ to _____, inclusive, sets forth fairly, in all material respects, the financial condition of _____ as of _____, in conformity with generally accepted accounting principles.

(Type Name of Firm)

(Accountant Signature)

(Telephone Number)

(License Number)

Special Note to Accountant: The above Certificate of Accountant shall not be made by any individual who is the regular employ of the individual, partnership or corporation submitting the statement; nor by any individual who is a member of the firm with more than ten percent financial interest.

**PLACENTIA-YORBA LINDA UNIFIED SCHOOL DISTRICT
PREQUALIFICATION QUESTIONNAIRE**

ACCOUNTANT'S RELEASE LETTER

By signing the form below, I authorize the Placentia-Yorba Linda Unified School District to contact our company's licensed accounting firm to verify our most recent audited or reviewed financial statement. I understand the financial statement is confidential information and is not open to public inspection.

Signature

Printed Name / Title

Company Name

Date

**PLACENTIA-YORBA LINDA UNIFIED SCHOOL DISTRICT
PREQUALIFICATION QUESTIONNAIRE**

PREQUALIFICATION STATEMENT FOR
PLACENTIA-YORBA LINDA UNIFIED SCHOOL DISTRICT

Each prospective bidder must have a current and active license at the time of the award and must submit the following information on this form.

DECLARATION

I, _____(printed name) hereby declare that I am the _____(title) of _____(name of bidder) submitting this Prequalification Statement; that I am duly authorized to execute this Prequalification Statement on behalf of the above-named company; and that all information set forth in this Prequalification Statement and all attachments hereto are, to the best of my knowledge, true, accurate, and complete as of its submission date. I acknowledge that any false statements, inaccuracies or failure to disclose are grounds for disqualification. In executing this Declaration, I hereby permit the Placentia-Yorba Linda Unified School District to contact any owner, school district, contractor, subcontractor, supplier or any other party that the Placentia-Yorba Linda Unified School District deems relevant for purposes of verifying or gathering any information necessary to complete the evaluation of this pre-qualification submittal.

I declare, under penalty of perjury, that the foregoing is true and correct and that this declaration was
Subscribed at _____ (location and city), County of _____, State of _____, on _____(date).

(Signature)

(If signed by other than the sole proprietor, a general partner, or corporate officer, attach original notarized power of attorney or corporate resolution.)

All information submitted for prequalification evaluation will be considered official information acquired in confidence and the District will maintain its confidentiality to the extent permitted by law.

TEXTBOOK ADOPTION – GRADES 9-12

COURSE	TEXTBOOK
Pre-calculus	Cengage Learning - <u>Pre-Calculus: Mathematics for Calculus – 7th Ed.</u> - ISBN-13: 9781305115309

PLACENTIA-YORBA LINDA UNIFIED SCHOOL DISTRICT

RESOLUTION of the Board OF EDUCATION OF THE PLACENTIA-YORBA LINDA UNIFIED SCHOOL DISTRICT AUTHORIZING THE ISSUANCE OF the district’s 2015 GENERAL OBLIGATION REFUNDING BONDS

**RESOLUTION NO. 25
MAY 19, 2015**

WHEREAS, a duly called election was held in the Placentia-Yorba Linda Unified School District, County of Orange, State of California (the “District”), on February 5, 2008, and thereafter canvassed pursuant to law;

WHEREAS, at such election (the “Election”), there was submitted to and approved by the requisite 55% vote of the qualified electors of the District a question as to the issuance and sale of general obligation bonds of the District for various purposes set forth in the ballot submitted to the voters, in the maximum amount of \$200,000,000, payable from the levy of an *ad valorem* tax against the taxable property in the District;

WHEREAS, the Board of Education of the District (the “Governing Board”) has previously approved the issuance, among other series, of: \$80,000,000 aggregate principal amount of the District’s General Obligation Bonds 2008 Election, Series A (the “Prior Bonds”);

WHEREAS, the Governing Board has now determined that conditions in the financial marketplace may become favorable for the refunding of a portion of the outstanding principal amount of the Prior Bonds, which Prior Bonds subject to refunding shall be referred to herein as the “Refunded Bonds”;

WHEREAS, pursuant to Articles 9 and 11 of Chapter 3 of Part 1 of Division 2 of Title 5 of the California Government Code and other applicable law (the “Act”), the District is authorized to issue refunding bonds in order to refund all or a portion of the Prior Bonds;

WHEREAS, this Governing Board acknowledges that the issuance of the Refunding Bonds to refinance the Prior Bonds more than 90 days in advance of the date of redemption thereof shall thereafter preclude any tax-exempt advance refunding of the Refunding Bonds issued hereunder for such redemption;

WHEREAS, this Governing Board desires to appoint professionals related to the issuance of the Refunding Bonds (as hereinafter defined); and

WHEREAS, all acts, conditions and things required by law to be done or performed have been done and performed in strict conformity with the laws authorizing the issuance of general obligation refunding bonds of the District, and the indebtedness of the District, including this proposed issue of Refunding Bonds (as hereinafter defined), is within all limits prescribed by law;

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF EDUCATION OF THE PLACENTIA-YORBA LINDA UNIFIED SCHOOL DISTRICT, ORANGE COUNTY, CALIFORNIA, AS FOLLOWS:

SECTION 1. Purpose. The Governing Board hereby determines that prudent management of the fiscal affairs of the District requires that, subject to the provisions of Section 4 hereof, the District issue one or more series of its 2015 General Obligation Refunding Bonds (the “Refunding Bonds”) under the provisions of the Act to refund all or a portion of the Prior Bonds. Accordingly, the District hereby authorizes the issuance of its Refunding Bonds in a principal amount not to exceed \$75,000,000, with appropriate series designations if more than one series is issued, in order to refund a portion of the outstanding Prior Bonds and to pay all necessary legal, financial, and contingent costs in connection

therewith. Additional costs authorized to be paid from the proceeds of the Refunding Bonds are all of the authorized costs of issuance set forth in Section 53550(e) and (f) and Section 53587 of the Act.

SECTION 2. Paying Agent. The Governing Board does hereby authorize the appointment of U.S. Bank National Association as the authenticating agent, bond registrar, transfer agent and paying agent (the "Paying Agent") for the Refunding Bonds on behalf of the District.

SECTION 3. Terms and Conditions of Sale. The Refunding Bonds shall be issued as current interest bonds and shall be sold at one or more negotiated sales upon the direction of the Superintendent of the District (the "Superintendent"), the Assistant Superintendent, Business Services of the District (the "Assistant Superintendent"), or their designees. The Refunding Bonds shall be sold pursuant to the terms and conditions set forth in the Purchase Contract, as described below.

SECTION 4. Approval of Purchase Contract. The form of Purchase Contract (the "Purchase Contract") by and between the District and Stifel, Nicolaus & Company, Incorporated, as underwriter (the "Underwriter"), for the purchase and sale of the Refunding Bonds, substantially in the form on file with the Secretary to or Clerk of the Governing Board, is hereby approved and the Superintendent, the Assistant Superintendent or any designee thereof (each, an "Authorized Officer"), are hereby authorized to execute and deliver such Purchase Contract, with such changes therein, deletions therefrom and modifications thereto as an Authorized Officer may approve, such approval to be conclusively evidenced by the execution and delivery thereof; provided, however, that the interest rate and maximum yield on the Refunding Bonds shall not exceed the maximum allowed by law, and that the Underwriter's discount, excluding original issue discount and Underwriter expenses, shall not exceed 0.60% of the aggregate principal amount of the Refunding Bonds issued. The Authorized Officers, each alone, are further authorized to determine the principal amount of the Refunding Bonds to be specified in the Purchase Contract for sale by the District up to \$75,000,000 and to enter into and execute the Purchase Contract with the Underwriter, if the conditions set forth in this Resolution are satisfied.

The Authorized Officer may, upon consultation with the District's Financial Advisor, the Underwriter and Bond Counsel, and in accordance with Section 17 below, purchase bond insurance to secure the payment when due of debt service on all or a portion of the Refunding Bonds.

SECTION 5. Certain Definitions. As used in this Resolution, the terms set forth below shall have the meanings ascribed to them (unless otherwise set forth in the Purchase Contract):

(a) "Act" means Articles 9 and 11 of Chapter 3 of Part 1 of Division 2 of Title 5 of the California Government Code.

(b) "Authorization" means the authorization received by the District to issue the Prior Bonds at the Election.

(c) "Bond Insurer" means any insurance company which issues a municipal bond insurance policy insuring the payment of the Principal of and interest on the Refunding Bonds.

(d) "Bond Payment Date" means (unless otherwise provided by the Purchase Contract), with respect to the Refunding Bonds, February 1 and August 1 of each year, commencing August 1, 2015 (unless otherwise provided in the Purchase Contract) with respect to the interest on the Bonds and August 1 of each year, commencing August 1, 2015 (unless otherwise provided in the Purchase Contract) with respect to the Principal of the Refunding Bonds.

(e) "Bond Register" or "Register" means the registration books maintained by the Paying Agent.

(f) "Depository" means the securities depository acting as Depository pursuant to Section 6(c) hereof.

(g) "DTC" means The Depository Trust Company, New York, New York, a limited purpose trust company organized under the laws of the State of New York, in its capacity as securities depository for the Refunding Bonds.

(h) "Escrow Agent" means U.S. Bank National Association, in its capacity as escrow agent under the Escrow Agreement.

(i) "Escrow Agreement" means the Escrow Deposit Agreement relating to the Refunded Bonds, by and between the District and the Escrow Agent.

(j) "Federal Securities" means securities as permitted, in accordance with the respective authorizing resolutions of the District pursuant to which the Prior Bonds were issued, to be deposited with the Escrow Agent for the purpose of defeasing the Prior Bonds.

(k) "Information Services" means the Electronic Municipal Market Access System (referred to as "EMMA"), a facility of the Municipal Securities Rulemaking Board at www.emma.msrb.org; provided, however, in accordance with the then current guidelines of the Securities and Exchange Commission, Information Services shall mean such other organization(s) providing information with respect to called bonds as the District may designate in a request of the District delivered to the Paying Agent.

(l) "Moody's" means Moody's Investors Service, its successors and assigns.

(m) "Nominee" means the nominee of the Depository, which may be the Depository, as determined from time to time pursuant to Section 6(c) hereof.

(n) "Outstanding" means, when used with reference to the Refunding Bonds, as of any date, Bonds theretofore issued or thereupon being issued under this resolution except:

(i) Refunding bonds canceled at or prior to such date;

(ii) Refunding Bonds in lieu of or in substitution for which other Refunding Bonds shall have been delivered pursuant to Section 8 hereof; or

(iii) Refunding Bonds for the payment or redemption of which funds or United States Obligations in the necessary amount shall have been set aside (whether on or prior to the maturity or redemption date of such Refunding Bonds), in accordance with Section 18 of this Resolution.

(o) "Owners" or "Registered Owner" means the registered owner of a Refunding Bond as set forth on the registration books maintained by the Paying Agent.

(p) "Participants" means those broker-dealers, banks and other financial institutions from time to time for which the Depository holds book-entry certificates as securities depository.

(q) "Principal" or "Principal Amount" means, with respect to any Refunding Bond, the principal or principal amount thereof.

(r) "Record Date" means the fifteenth (15th) day of the month preceding each Bond Payment Date.

(s) "S&P" means Standard & Poor's Ratings Services, a Standard & Poor's Financial Services LLC business, its successors and assigns.

(t) "Securities Depository" means The Depository Trust Company.

(u) "Term Bonds" means those Refunding Bonds for which mandatory redemption dates have been established in the Purchase Contract.

(v) "Transfer Amount" means, with respect to any Outstanding Refunding Bond, the Principal Amount.

(w) "Underwriter" means Stifel, Nicolaus & Company, Incorporated.

SECTION 6. Terms of the Refunding Bonds.

(a) Denomination, Interest, Dated Dates. The Refunding Bonds shall be issued in fully registered form as to both Principal and interest, without coupons, in denominations of \$5,000 or any integral multiple thereof. The Refunding Bonds will be initially registered to "Cede & Co.," the nominee of the Depository Trust Company, New York, New York.

Each Refunding Bond shall be dated the date of its initial issuance or such other date as shall appear in the Purchase Contract and the Official Statement (the "Dated Date"), and shall bear interest at the rates as set forth in the Purchase Contract from the Bond Payment Date next preceding the date of authentication thereof unless it is authenticated as of a day during the period from the 16th day of the month next preceding any Bond Payment Date to that Bond Payment Date, inclusive, in which event it shall bear interest from such Bond Payment Date, or unless it is authenticated on or before the first Record Date, in which event it shall bear interest from its Dated Date. Such interest shall be payable on the respective Bond Payment Date and shall be calculated on the basis of a 360-day year of twelve 30-day months.

The Refunding Bonds shall bear interest at a rate or rates such that the maximum interest rate on the Refunding Bonds shall not exceed the maximum rates per annum permitted by law. Interest shall be payable on the respective Bond Payment Dates.

No Refunding Bonds of any series shall mature later than the final maturity date of the Refunded Bonds to be refunded from proceeds of such Refunding Bonds.

(b) Redemption.

(i) Terms of Redemption. The Refunding Bonds shall be subject to redemption prior to maturity as provided in the Purchase Contract.

(ii) Selection of Refunding Bonds for Redemption. Whenever provision is made in this Resolution for the redemption of Refunding Bonds and less than all Outstanding Refunding Bonds are to be redeemed, the Paying Agent, upon written instruction from the District, shall select Refunding Bonds for redemption as so directed by the District and if not directed, in inverse order of maturity. Within a maturity, the Paying Agent shall select Refunding Bonds for redemption by lot. Redemption by lot shall be in such manner as the Paying Agent shall determine; provided, however, that the portion of any Refunding Bond to be redeemed in part shall be in the Principal Amount of \$5,000 or any integral multiple thereof.

(iii) Notice of Redemption. When redemption is authorized or required pursuant to this Resolution, the Paying Agent, upon written instruction from the District, shall give notice (a "Redemption Notice") of the redemption of the Refunding Bonds. Such Redemption Notice shall specify: (a) the Refunding Bonds or designated portions thereof (in the case of redemption of the Refunding Bonds in part but not in whole) which are to be redeemed, (b) the date of redemption, (c) the place or places where the redemption will be made, including the name and address of the Paying Agent, (d) the redemption price, (e) the CUSIP numbers (if any) assigned to the Refunding Bonds to be redeemed, (f) the bond numbers of the Refunding Bonds to be redeemed in whole or in part and, in the case of any Refunding Bond to be redeemed in part only, the Principal Amount of such Refunding Bond to be redeemed, and (g) the original issue date, interest rate and stated maturity date of each Refunding Bond to be redeemed in whole or in part. Such Redemption Notice shall further state that on the specified date there shall become due and payable upon each Refunding Bond or portion thereof being redeemed at the redemption price thereof, together with the interest accrued to the redemption date thereon, and that from and after such date, interest with respect thereto shall cease to accrue.

The Paying Agent shall take the following actions with respect to such Redemption Notice:

(1) At least 20 but not more than 45 days prior to the redemption date, such Redemption Notice shall be given to the respective Owners of Refunding Bonds designated for redemption by registered or certified mail, postage prepaid, at their addresses appearing on the Bond Register.

(2) At least 20 but not more than 45 days prior to the redemption date, such Redemption Notice shall be given by (i) registered or certified mail, postage prepaid, (ii) telephonically confirmed facsimile transmission, or (iii) overnight delivery service to the Securities Depository.

(3) At least 20 but not more than 45 days prior to the redemption date, such Redemption Notice shall be given by electronic means to the Information Services.

Neither failure to receive nor failure to publish any Redemption Notice nor any defect in any such Redemption Notice so given shall affect the sufficiency of the proceedings for the redemption of the affected Refunding Bonds. Each check issued or other transfer of funds made by the Paying Agent for the purpose of redeeming Refunding Bonds shall bear or include the CUSIP number identifying, by issue and maturity, the Refunding Bonds being redeemed with the proceeds of such check or other transfer.

Conditional Notice. The Paying Agent shall, at the direction of the District, issue a Redemption Notice on the foregoing terms that is conditioned upon the delivery of proceeds of refunding bonds or other funds lawfully available to pay the redemption price of the Refunding Bonds. Such a conditional Redemption Notice, if given, may be rescinded by the Paying Agent at the direction of the District at any time prior to the scheduled redemption date, whereupon the Redemption Notice shall: (A) be deemed null and void, (B) the District shall not be required to redeem such Refunding Bonds, (C) the redemption shall not be made and (D) the Paying Agent shall within a reasonable time thereafter give notice to the persons in the manner in which the conditional notice of redemption was given, that such condition or conditions were not met and that the redemption was cancelled.

(iv) Partial Redemption of Refunding Bonds. Upon the surrender of any Refunding Bond redeemed in part only, the Paying Agent shall execute and deliver to the Owner thereof a new Refunding Bond or Refunding Bonds of like tenor and maturity and of authorized denominations equal in Transfer Amounts to the unredeemed portion of the Bond surrendered. Such partial redemption shall be valid upon payment of the amount required to be

paid to such Owner, and the District shall be released and discharged thereupon from all liability to the extent of such payment.

(v) Effect of Notice of Redemption. Notice having been given as aforesaid, and the moneys for the redemption (including the interest accrued to the applicable date of redemption) having been set aside in the District's Debt Service Account (as defined herein), the Refunding Bonds to be redeemed shall become due and payable on such date of redemption.

If on such redemption date, money for the redemption of all the Refunding Bonds to be redeemed as provided in Section 6(b)(i) hereof, together with interest accrued to such redemption date, shall be held by the Paying Agent so as to be available therefor on such redemption date, and if notice of redemption thereof shall have been given as aforesaid, then from and after such redemption date, interest with respect to the Refunding Bonds to be redeemed shall cease to accrue and become payable. All money held by or on behalf of the Paying Agent for the redemption of Refunding Bonds shall be held in trust for the account of the Owners of the Refunding Bonds so to be redeemed.

All Refunding Bonds paid at maturity or redeemed prior to maturity pursuant to the provisions of this Section 6 shall be cancelled upon surrender thereof and be delivered to or upon the order of the District. All or any portion of a Refunding Bond purchased by the District shall be cancelled by the Paying Agent.

(vi) Conditional Notice. Any notice of optional redemption of the Refunding Bonds delivered in accordance with Section 6(b)(iii) herein may be conditional, and if any condition stated in such notice of redemption shall not have been satisfied on or prior to the redemption date: (i) said notice shall be of no force and effect, (ii) the District shall not be required to redeem such Refunding Bonds, (iii) the redemption shall not be made and (iv) the Paying Agent shall within a reasonable time thereafter give notice to the persons in the manner in which the conditional notice of redemption was given, that such condition or conditions were not met and that the redemption was cancelled.

(vii) Refunding Bonds No Longer Outstanding. When any Refunding Bonds (or portions thereof) which have been duly called for redemption prior to maturity under the provisions of this Resolution, or with respect to which irrevocable instructions to call for redemption prior to maturity at the earliest redemption date have been given to the Paying Agent, in form satisfactory to it, and sufficient moneys shall be held by the Paying Agent irrevocably in trust for the payment of the redemption price of such Refunding Bonds or portions thereof, and, accrued interest with respect thereto to the date fixed for redemption, all as provided in this Resolution, then such Refunding Bonds shall no longer be deemed Outstanding and shall be surrendered to the Paying Agent for cancellation.

(c) Book-Entry System.

(i) Election of Book-Entry System. The Refunding Bonds shall initially be delivered in the form of a separate single fully-registered bond (which may be typewritten) for each maturity date of such Refunding Bonds in an authorized denomination. The ownership of each such Bond shall be registered in the Bond Register (as defined below) in the name of the Nominee, as nominee of the Depository and ownership of the Refunding Bonds, or any portion thereof may not thereafter be transferred except as provided in Section 6(c)(i)(4).

The District and the Paying Agent shall have no responsibility or obligation to any Participant or to any person on behalf of which such a Participant holds an interest in such the Refunding Bonds. Without limiting the immediately preceding sentence, the District and the Paying Agent shall have no responsibility or obligation with respect to (i) the accuracy of the records of the Depository, the Nominee, or any Participant with respect to any ownership interest in the Refunding Bonds, (ii) the

delivery to any Participant or any other person, other than an Owner as shown in the Bond Register, of any notice with respect to the Refunding Bonds, including any notice of redemption, (iii) the selection by the Depository and its Participants of the beneficial interests in the Refunding Bonds to be prepaid in the event the District redeems the Refunding Bonds in part, or (iv) the payment by the Depository or any Participant or any other person, of any amount with respect to Principal, premium, if any, or interest on the Refunding Bonds. The District and the Paying Agent may treat and consider the person in whose name each the Refunding Bond is registered in the Bond Register as the absolute owner (the "Registered Owner" or "Owner") of such the Refunding Bond for the purpose of payment of Principal of and premium and interest on and to such Refunding Bond, for the purpose of giving notices of redemption and other matters with respect to such Refunding Bond, for the purpose of registering transfers with respect to such Refunding Bond, and for all other purposes whatsoever. The Paying Agent shall pay all Principal of and premium, if any, and interest on the Refunding Bonds only to or upon the order of the respective Owner, as shown in the Bond Register, or his respective attorney duly authorized in writing, and all such payments shall be valid and effective to fully satisfy and discharge the District's obligations with respect to payment of Principal of, and premium, if any, and interest on the Refunding Bonds to the extent of the sum or sums so paid. No person other than an Owner, as shown in the Bond Register, shall receive a certificate evidencing the obligation to make payments of Principal of, and premium, if any, and interest on the Refunding Bonds. Upon delivery by the Depository to the Owner and the Paying Agent, of written notice to the effect that the Depository has determined to substitute a new nominee in place of the Nominee, and subject to the provisions herein with respect to the Record Date, the word "Nominee" in this Resolution shall refer to such nominee of the Depository.

1. Delivery of Letter of Representations. In order to qualify the Refunding Bonds for the Depository's book-entry system, the District and the Paying Agent shall execute and deliver to the Depository a Letter of Representations. The execution and delivery of a Letter of Representations shall not in any way impose upon the District or the Paying Agent any obligation whatsoever with respect to persons having interests in the Refunding Bonds other than the Owners, as shown on the Bond Register. By executing a Letter of Representations, the Paying Agent shall agree to take all action necessary at all times so that the District will be in compliance with all representations of the District in such Letter of Representations. In addition to the execution and delivery of a Letter of Representations, the District and the Paying Agent shall take such other actions, not inconsistent with this Resolution, as are reasonably necessary to qualify the Refunding Bonds for the Depository's book-entry program.

2. Selection of Depository. In the event (i) the Depository determines not to continue to act as securities depository for the Refunding Bonds, or (ii) the District determines that continuation of the book-entry system is not in the best interest of the beneficial owners of the Refunding Bonds or the District, then the District will discontinue the book-entry system with the Depository. If the District determines to replace the Depository with another qualified securities depository, the District shall prepare or direct the preparation of a new single, separate, fully registered bond for each maturity date of such the Refunding Bond, registered in the name of such successor or substitute qualified securities depository or its Nominee as provided in subsection (4) hereof. If the District fails to identify another qualified securities depository to replace the Depository, then the Refunding Bonds shall no longer be restricted to being registered in such Bond Register in the name of the Nominee, but shall be registered in whatever name or names the Owners transferring or exchanging such Refunding Bonds shall designate, in accordance with the provisions of this Section.

3. Payments to Depository. Notwithstanding any other provision of this Resolution to the contrary, so long as all outstanding Refunding Bonds are held in book-entry and registered in the name of the Nominee, all payments by the District or the Bond Register with respect to Principal of and premium, if any, or interest on the Refunding Bonds and all notices with respect to such Refunding Bonds shall be made and given, respectively to the Nominee, as provided in the Letter of Representations or as otherwise instructed by the

Depository and agreed to by the Paying Agent notwithstanding any inconsistent provisions herein.

4. Transfer of Refunding Bonds to Substitute Depository.

(A) The Refunding Bonds shall be initially issued as described in the Official Statement. Registered ownership of such Refunding Bonds, or any portions thereof, may not thereafter be transferred except:

(1) to any successor of DTC or its Nominee, or of any substitute depository designated pursuant to Section 6(c)(i)(4)(A)(2) ("Substitute Depository"); provided that any successor of DTC or Substitute Depository shall be qualified under any applicable laws to provide the service proposed to be provided by it;

(2) to any Substitute Depository, upon (1) the resignation of DTC or its successor (or any Substitute Depository or its successor) from its functions as depository, or (2) a determination by the District that DTC (or its successor) is no longer able to carry out its functions as depository; provided that any such Substitute Depository shall be qualified under any applicable laws to provide the services proposed to be provided by it; or

(3) to any person as provided below, upon (1) the resignation of DTC or its successor (or any Substitute Depository or its successor) from its functions as depository, or (2) a determination by the District that DTC or its successor (or Substitute Depository or its successor) is no longer able to carry out its functions as depository.

(B) In the case of any transfer pursuant to Section 6(c)(i)(4)(A)(1) or (2), upon receipt of all outstanding Refunding Bonds by the Paying Agent, together with a written request of the District to the Paying Agent designating the Substitute Depository, a single new Bond, which the District shall prepare or cause to be prepared, shall be executed and delivered for each maturity of Refunding Bonds then outstanding, registered in the name of such successor or such Substitute Depository or their Nominees, as the case may be, all as specified in such written request of the District. In the case of any transfer pursuant to Section 6(c)(i)(4)(A)(3), upon receipt of all outstanding Refunding Bonds by the Paying Agent, together with a written request of the District to the Paying Agent, new Refunding Bonds, which the District shall prepare or cause to be prepared, shall be executed and delivered in such denominations and registered in the names of such persons as are requested in such written request of the District, provided that the Paying Agent shall not be required to deliver such new Refunding Bonds within a period of less than sixty (60) days from the date of receipt of such written request from the District.

(C) In the case of a partial redemption of any Refunding Bonds evidencing a portion of the Principal maturing in a particular year, DTC or its successor (or any Substitute Depository or its successor) shall make an appropriate notation on such Refunding Bonds indicating the date and amounts of such reduction in Principal, in form acceptable to the Paying Agent, all in accordance with the Letter of Representations. The Paying Agent shall not be liable for such Depository's failure to make such notations or errors in making such notations.

(D) The District and the Paying Agent shall be entitled to treat the person in whose name any Bond is registered as the Owner thereof for all purposes of this Resolution and any applicable laws, notwithstanding any notice to the contrary received by the Paying Agent or the District; and the District and the Paying Agent shall not have responsibility for transmitting payments to, communicating with, notifying, or otherwise dealing with any beneficial owners of the Refunding Bonds. Neither the District nor the Paying Agent shall have any responsibility or obligation, legal or otherwise, to any such beneficial owners or to any other party, including DTC

or its successor (or Substitute Depository or its successor), except to the Owner of any Refunding Bonds, and the Paying Agent may rely conclusively on its records as to the identity of the Owners of the Refunding Bonds.

SECTION 7. Execution of Refunding Bonds. The Refunding Bonds shall be signed by the President of the Governing Board, by his or her manual or facsimile signature and countersigned by the manual or facsimile signature of and may be embossed or printed with the seal or facsimile seal of the District affixed thereto by the Secretary of the Governing Board, all in their official capacities. No Refunding Bond shall be valid or obligatory for any purpose or shall be entitled to any security or benefit under this Resolution unless and until the certificate of authentication printed on the Refunding Bond is signed by the Paying Agent as authenticating agent. Authentication by the Paying Agent shall be conclusive evidence that the Refunding Bond so authenticated has been duly issued, signed and delivered under this Resolution and is entitled to the security and benefit of this Resolution.

SECTION 8. Bond Registrar; Transfer and Exchange. The Governing Board does hereby appoint U.S. Bank National Association to act as the authenticating agent, Bond Registrar, transfer agent and Paying Agent for the Refunding Bonds.

So long as any of the Refunding Bonds remain Outstanding, the District will cause the Paying Agent to maintain and keep at its principal office all books and records necessary for the registration, exchange and transfer of the Refunding Bonds as provided in this Section. Subject to the provisions of Section 9 below, the person in whose name a Refunding Bond is registered on the Bond Register shall be regarded as the absolute Owner of that Refunding Bond for all purposes of this Resolution. Payment of or on account of the Principal of and premium, if any, and interest on any Refunding Bond shall be made only to or upon the order of that person; neither the District nor the Paying Agent shall be affected by any notice to the contrary, but the registration may be changed as provided in this Section. All such payments shall be valid and effectual to satisfy and discharge the District's liability upon the Refunding Bonds, including interest, to the extent of the amount or amounts so paid.

Any Refunding Bond may be exchanged for Refunding Bonds of like tenor, maturity and Transfer Amount upon presentation and surrender at the principal office of the Paying Agent, together with a request for exchange signed by the Owner or by a person legally empowered to do so in a form satisfactory to the Paying Agent. A Refunding Bond may be transferred on the Bond Register only upon presentation and surrender of the Refunding Bond at the principal office of the Paying Agent together with an assignment executed by the Owner or by a person legally empowered to do so in a form satisfactory to the Paying Agent. Upon exchange or transfer, the Paying Agent shall complete, authenticate and deliver a new Refunding Bond or Refunding Bonds of like tenor and of any authorized denomination or denominations requested by the Owner equal to the Transfer Amount of the Refunding Bond surrendered and bearing or accruing interest at the same rate and maturing on the same date.

If any Refunding Bond shall become mutilated, the District, at the expense of the Owner of said Refunding Bond, shall execute, and the Paying Agent shall thereupon authenticate and deliver, a new Refunding Bond of like series, tenor and Transfer Amount in exchange and substitution for the Refunding Bond so mutilated, but only upon surrender to the Paying Agent of the Refunding Bond so mutilated. If any Refunding Bond issued hereunder shall be lost, destroyed or stolen, evidence of such loss, destruction or theft may be submitted to the Paying Agent and, if such evidence is satisfactory to the Paying Agent and indemnity for the Paying Agent and the District satisfactory to the Paying Agent shall be given by the Owner, the District, at the expense of the Refunding Bond Owner, shall execute, and the Paying Agent shall thereupon authenticate and deliver, a new Refunding Bond of like tenor in lieu of and in substitution for the Refunding Bond so lost, destroyed or stolen (or if any such Refunding Bond shall have matured or shall have been called for redemption, instead of issuing a substitute Refunding Bond the Paying Agent may pay the same without surrender thereof upon receipt of indemnity satisfactory to the Paying Agent and the District). The Paying Agent may require payment of

a reasonable fee for each new Refunding Bond issued under this paragraph and of the expenses which may be incurred by the District and the Paying Agent.

If manual signatures on behalf of the District are required in connection with an exchange or transfer, the Paying Agent shall undertake the exchange or transfer of Refunding Bonds only after the new Refunding Bonds are signed by the authorized officers of the District. In all cases of exchanged or transferred Refunding Bonds, the District shall sign and the Paying Agent shall authenticate and deliver Refunding Bonds in accordance with the provisions of this Resolution. All fees and costs of transfer shall be paid by the requesting party. Those charges may be required to be paid before the procedure is begun for the exchange or transfer. All Refunding Bonds issued upon any exchange or transfer shall be valid obligations of the District, evidencing the same debt, and entitled to the same security and benefit under this Resolution as the Refunding Bonds surrendered upon that exchange or transfer.

Any Refunding Bond surrendered to the Paying Agent for payment, retirement, exchange, replacement or transfer shall be cancelled by the Paying Agent. The District may at any time deliver to the Paying Agent for cancellation any previously authenticated and delivered Refunding Bonds that the District may have acquired in any manner whatsoever, and those Refunding Bonds shall be promptly cancelled by the Paying Agent. Written reports of the surrender and cancellation of Refunding Bonds shall be made to the District by the Paying Agent as requested by the District. The cancelled Refunding Bonds shall be retained for three years, then returned to the District or destroyed by the Paying Agent as directed by the District.

Neither the District nor the Paying Agent will be required (a) to issue or transfer any Refunding Bonds during a period beginning with the opening of business on the 16th business day next preceding either any Bond Payment Date or any date of selection of Refunding Bonds to be redeemed and ending with the close of business on the Bond Payment Date or any day on which the applicable notice of redemption is given or (b) to transfer any Refunding Bonds which have been selected or called for redemption in whole or in part.

SECTION 9. Payment. Payment of interest on any Refunding Bond on any Bond Payment Date shall be made to the person appearing on the registration books of the Paying Agent as the Owner thereof as of the Record Date immediately preceding such Bond Payment Date, such interest to be paid by check mailed to such Owner on the Bond Payment Date at his address as it appears on such registration books or at such other address as he may have filed with the Paying Agent for that purpose on or before the Record Date. The Owner in an aggregate Principal Amount of \$1,000,000 or more may request in writing to the Paying Agent that such Owner be paid interest by wire transfer to the bank and account number on file with the Paying Agent as of the Record Date. The Principal, and redemption price, if any, payable on the Refunding Bonds shall be payable upon maturity or redemption upon surrender at the principal office of the Paying Agent. The interest, Principal and premiums, if any, on the Refunding Bonds shall be payable in lawful money of the United States of America. The Paying Agent is hereby authorized to pay the Refunding Bonds when duly presented for payment at maturity, and to cancel all Refunding Bonds upon payment thereof. The Refunding Bonds are general obligations of the District, payable without limit as to rate or amount from the levy of *ad valorem* property taxes upon all property subject to taxation within the District.

SECTION 10. Forms of Refunding Bonds. The Refunding Bonds, the certificate of authentication and registration and the forms of assignment to appear on each of them, shall be in substantially the form set forth in Exhibit A hereto, allowing those officials executing the Refunding Bonds to make the insertions and deletions necessary to conform the Refunding Bonds to this Resolution, the Purchase Contract and the Official Statement.

SECTION 11. Delivery of Refunding Bonds. The proper officials of the District shall cause the Refunding Bonds to be prepared and, following their sale, shall have the Refunding Bonds signed and delivered, together with a true transcript of proceedings with reference to the issuance of the Refunding Bonds, to the original purchaser upon payment of the purchase price therefor.

SECTION 12. Deposit of Proceeds of Refunding Bonds. Certain net proceeds from the sale of the Refunding Bonds shall be transferred to the Escrow Agent for deposit into one or more Escrow Funds established under one or more Escrow Agreements, which amount(s), shall be sufficient to refund the Refunded Bonds in accordance with their terms, all as set forth in the Escrow Agreement. Proceeds, including premium, received from the sale of the Refunding Bonds required to pay all or a portion of the costs of issuing the Refunding Bonds may be deposited in the fund of the District known as the "Placentia-Yorba Linda Unified School District 2015 General Obligation Refunding Bonds Cost of Issuance Fund" (the "Cost of Issuance Fund") established with the Paying Agent and shall be kept separate and distinct from all other District funds, and those proceeds shall be used solely for the purpose of paying costs of issuance of the Refunding Bonds.

Any accrued interest received by the District from the sale of the Refunding Bonds shall be kept separate and apart in the account hereby created and established as a subaccount in the Debt Service Fund of the District previously established with the Treasurer-Tax Collector of Orange County (the "Treasurer") and to be designated as the "Placentia-Yorba Linda Unified School District 2015 General Obligation Refunding Bonds Debt Service Account" (the "Debt Service Account") and used only for payments of Principal of and interest on the Refunding Bonds. The Debt Service Account shall be held by the County. Any excess proceeds of the Refunding Bonds not needed for the authorized purposes set forth herein for which the Refunding Bonds are being issued shall be transferred to the Debt Service Account and applied to the payment of the Principal of and interest on the Refunding Bonds. If, after payment in full of the Refunding Bonds, there remain excess proceeds, any such excess amounts shall be transferred to the General Fund of the District, as permitted by law. Notwithstanding any of the foregoing, the provisions of this Section as they relate to the dispersal and allocation of moneys on deposit in the Debt Service Account may be amended by the Purchase Contract and Official Statement so long as the transactions contemplated by such amendment are in compliance with the provisions of the Act.

Except as required below to satisfy the requirements of Section 148(f) of the Internal Revenue Code of 1986, as amended (the "Code"), interest earned on the investment of monies held in the Debt Service Account shall be retained therein and used to pay Principal of and interest on the Refunding Bonds when due.

SECTION 13. Security for the Refunding Bonds. There shall be levied on all the taxable property in the District, in addition to all other taxes, a continuing direct *ad valorem* tax annually during the period the Refunding Bonds are outstanding in an amount sufficient to pay the Principal of and interest on the Refunding Bonds when due, which moneys when collected will be placed in the Debt Service Account of the District, which fund is irrevocably pledged for the payment of the Principal of and interest on the Refunding Bonds when and as the same fall due. The District covenants to cause the County to take all actions necessary to levy such *ad valorem* tax in accordance with this Section 13 and Section 53559 of the Act.

The moneys in the Debt Service Account, to the extent necessary to pay the Principal of and interest on the Refunding Bonds as the same become due and payable, shall be transferred by the Treasurer to the Paying Agent which, in turn, shall pay such moneys to DTC to pay the Principal of and interest on the Refunding Bonds. DTC will thereupon make payments of Principal of and interest on the Refunding Bonds to the DTC Participants who will thereupon make payments of Principal of and interest to the beneficial owners of the Refunding Bonds. Any moneys remaining in the Debt Service Account after the Refunding Bonds and the interest thereon have been paid, or provision for such payment has been made, shall be transferred to the General Fund of the District.

SECTION 14. Federal Tax Covenants. The District hereby covenants that it shall not, directly or indirectly, use or permit the use of any proceeds of any of the Refunding Bonds, or of any of the property financed or refinanced with the proceeds of the Refunding Bonds, or other funds of the District, or take or omit to take any action that would cause the Refunding Bonds to be deemed "arbitrage

bonds” within the meaning of Section 148 of the Code. To that end, the District shall comply with all requirements of Section 148 of the Code and all regulations of the United States Department of the Treasury promulgated thereunder to the extent that such requirements are in effect and applicable to the Refunding Bonds.

The District covenants to comply with all the procedures and provisions set forth in the Tax Exemption Certificate, and will do and perform all acts and things necessary in order to assure that interest paid on the Refunding Bonds shall be excluded from gross income of the owners thereof for purposes of federal income taxation.

SECTION 15. Legislative Determinations. The Governing Board determines that all acts and conditions necessary to be performed by the Governing Board or to have been met precedent to and in the issuing of the Refunding Bonds in order to make them legal, valid and binding general obligations of the District have been performed and have been met, or will at the time of delivery of the Refunding Bonds have been performed and have been met, in regular and due form as required by law; that the full faith and credit of the District are pledged for the timely payment of the Principal of and interest on the Refunding Bonds; and that no statutory or constitutional limitation of indebtedness or taxation will have been exceeded in the issuance of the Refunding Bonds. Furthermore, the Governing Board finds and determines pursuant to Section 53552 of the Act that the prudent management of the fiscal affairs of the District requires that it issue the Refunding Bonds without submitting the question of the issuance of the Refunding Bonds to a vote of the qualified electors of the District.

SECTION 16. Official Statement. The Governing Board hereby approves the use by the Underwriter of a Preliminary Official Statement relating to the Bonds, substantially in the form submitted to and considered by this Governing Board (the “Preliminary Official Statement”) and following pricing, an Official Statement in connection with the sale of the Bonds (the “Official Statement”), in each case with such changes as may be approved by an Authorized Officer, and the Authorized Officers and such other officers of the District as may be authorized by the Governing Board are, and each of them acting alone hereby is, authorized to deliver copies of the Preliminary Official Statement and the Official Statement with such changes therein as such officer shall approve, in his or her discretion, as being in the best interests of the District. Upon the approval of such changes by such officer, the Preliminary Official Statement shall be “deemed final” as of its date except for the omission of certain information as provided in and pursuant to Rule 15c2-12 promulgated under the Securities Exchange Act of 1934 (the “Rule”). The Authorized Officers, each alone, are hereby authorized and directed to execute such Official Statement with such changes therein, deletions therefrom and modifications thereto as such Authorized Officer may approve, such approval to be conclusively evidenced by the execution and delivery thereof.

SECTION 17. Insurance. In the event the District purchases bond insurance for the Refunding Bonds, and to the extent that the Bond Insurer makes payment of the Principal of or interest on the Refunding Bonds, it shall become the Owner of such Refunding Bonds with the right to payment of Principal of or interest on the Refunding Bonds, and shall be fully subrogated to all of the Owners’ rights, including the Owners’ rights to payment thereof. To evidence such subrogation (i) in the case of subrogation as to claims that were past due interest components, the Paying Agent shall note the Bond Insurer’s rights as subrogee on the registration books for the Refunding Bonds maintained by the Paying Agent upon receipt of a copy of the cancelled check issued by the Bond Insurer for the payment of such interest to the Owners of the Refunding Bonds, and (ii) in the case of subrogation as to claims for past due Principal, the Paying Agent shall note the Bond Insurer as subrogee on the registration books for the Refunding Bonds maintained by the Paying Agent upon surrender of the Refunding Bonds by the Owners thereof to the Bond Insurer or the insurance trustee for the Bond Insurer.

SECTION 18. Defeasance. All or any portion of the outstanding maturities of the Refunding Bonds may be defeased prior to maturity in the following ways:

(a) Cash: by irrevocably depositing with an independent escrow agent selected by the District an amount of cash which together with amounts then on deposit in the Debt Service Fund is sufficient to pay all Refunding Bonds outstanding and designated for defeasance, including all Principal and interest and premium, if any; or

(b) United States Obligations: by irrevocably depositing with an independent escrow agent selected by the District noncallable United States Obligations, together with cash, if required, in such amount as will, in the opinion of an independent certified public accountant, together with interest to accrue thereon and moneys then on deposit in the Debt Service Account together with the interest to accrue thereon, be fully sufficient to pay and discharge all Refunding Bonds outstanding and designated for defeasance (including all Principal and interest represented thereby and prepayment premiums, if any) at or before their maturity date;

then, notwithstanding that any of such Refunding Bonds shall not have been surrendered for payment, all obligations of the District with respect to all such designated outstanding Refunding Bonds shall cease and terminate, except only the obligation of the Paying Agent or an independent escrow agent selected by the District to pay or cause to be paid from funds deposited pursuant to paragraphs (a) or (b) of this Section, to the Owners of such designated Refunding Bonds not so surrendered and paid all sums due with respect thereto.

For purposes of this Section, "United States Obligations" shall mean:

Direct and general obligations of the United States of America, obligations that are unconditionally guaranteed as to principal and interest by the United States of America (which may consist of obligations of the Resolution Funding Corporation that constitute interest strips), or "prerefunded" municipal obligations rated in the highest rating category by Moody's or S&P. In the case of direct and general obligations of the United States of America, United States Obligations shall include evidences of direct ownership of proportionate interests in future interest or principal payments of such obligations. Investments in such proportionate interests must be limited to circumstances wherein (a) a bank or trust company acts as custodian and holds the underlying United States obligations; (b) the owner of the investment is the real party in interest and has the right to proceed directly and individually against the obligor of the underlying United States obligations; and (c) the underlying United States obligations are held in a special account, segregated from the custodian's general assets, and are not available to satisfy any claim of the custodian, any person claiming through the custodian, or any person to whom the custodian may be obligated; provided that such obligations are rated or assessed at least as high as direct and general obligations of the United States by Moody's or S&P.

SECTION 10. Other Actions, Determinations and Approvals.

(a) Officers of the Governing Board, District officials and staff are hereby authorized and directed, jointly and severally, to do any and all things and to execute and deliver any and all documents which they may deem necessary or advisable in order to proceed with the issuance of the Refunding Bonds and otherwise carry out, give effect to and comply with the terms and intent of this Resolution. Such actions heretofore taken by such officers, officials and staff are hereby ratified, confirmed and approved.

(b) The Governing Board hereby finds and determines that the total net interest cost to maturity on the Refunding Bonds plus the Principal Amount of the Refunding Bonds will be less than the total net interest cost to maturity on the Refunded Bonds plus the Principal Amount of the Refunded Bonds.

(c) The Governing Board anticipates that the Refunded Bonds will be refunded, if at all, in accordance with their terms on their respective first available optional call dates or defeased to their maturity dates, as market conditions permit.

(d) The Governing Board hereby appoints U.S. Bank National Association, as the Escrow Agent for the Refunded Bonds and approves the form of Escrow Agreement on file with the Secretary of the Governing Board. Any Authorized Officer is authorized to execute the Escrow Agreement substantially in said form, with such changes as the Authorized Officer executing the same shall approve, such approval to be conclusively evidenced by his or her execution and delivery thereof.

(e) The Governing Board hereby appoints Stifel, Nicolaus & Company, Incorporated as the Underwriter for the Refunding Bonds, Fieldman, Rolapp & Associates as the Financial Advisor, Norton Rose Fulbright US LLP, Los Angeles, California, as Bond Counsel, and Orrick Herrington & Sutcliffe LLP as Disclosure Counsel with respect to the issuance of the Refunding Bonds.

(f) The provisions of this Resolution as they relate to the terms of the Refunding Bonds may be amended by the Purchase Contract and the Official Statement; if the Purchase Contract so provides, the Refunding Bonds may be issued as crossover refunding bonds pursuant to Section 53558(b) of the California Government Code.

SECTION 20. Delivery of Materials to County. The Clerk or Secretary of the Governing Board is hereby directed: (a) to provide a certified copy of this Resolution, together with a revised debt service schedule pertaining to the Refunding Bonds to the Treasurer, the County Board of Supervisors and the Orange County Auditor-Controller promptly following sale of the Refunding Bonds and in sufficient time to permit the County to establish tax rates; and (b) to formally request that the Board of Supervisors of the County adopt a resolution to levy the appropriate taxes herein provided.

SECTION 21. Continuing Disclosure. The District hereby covenants and agrees that it will comply with and carry out all of the provisions of that certain Continuing Disclosure Certificate, substantially in the form appended to the Preliminary Official Statement, to be executed by the District and dated the date of issuance and delivery of the Refunding Bonds, as originally executed and as it may be amended from time to time in accordance with the terms thereof. Any Owner may take such actions as may be necessary and appropriate, including seeking mandate or specific performance by court order, to cause the District to comply with its obligations under this Section. Noncompliance with this Section shall not result in acceleration of the Refunding Bonds.

SECTION 22. Recitals. All the recitals in this Resolution above are true and correct and this Governing Board so finds, determines and represents.

[Remainder of page intentionally left blank.]

Effective Date. This Resolution shall take effect immediately upon its adoption.

PASSED AND ADOPTED by the Board of Education of the Placentia-Yorba Linda Unified School District at its regularly scheduled meeting held this 19th day of May, 2015, at Placentia, California, by the following vote:

AYES: Eric Padget, Judi Carmona, Karin Freeman, Carol Downey, Carrie Buck

NOES: None

ABSENT: None

ABSTENTIONS: None

By Eric Padget
President, Board of Education of the
Placentia-Yorba Linda Unified School District

ATTEST:

By Karin Freeman
Clerk of the Board of Education of the
Placentia-Yorba Linda Unified School District

CLERK'S CERTIFICATE

I, Karin Freeman, Clerk of the Board of Education of the Placentia-Yorba Linda Unified School District, hereby certify as follows:

The foregoing is a full, true and correct copy of a resolution duly adopted at a regular meeting of the Board of Education of said District duly and regularly and legally held at the regular meeting place thereof on May 19, 2015, of which meeting all of the members of the Board of Education of said District had due notice and at which a quorum was present.

I have carefully compared the same with the original minutes of said meeting on file and of record in my office and the foregoing is a full, true and correct copy of the original resolution adopted at said meeting and entered in said minutes.

Said resolution has not been amended, modified or rescinded since the date of its adoption, and the same is now in full force and effect.

Dated: May 19, 2015

By Karin Freeman
Clerk of the Board of Education
Placentia-Yorba Linda Unified School District

EXHIBIT A

(Form of Refunding Bond)

REGISTERED
NO.

REGISTERED
\$

PLACENTIA-YORBA LINDA UNIFIED SCHOOL DISTRICT
(ORANGE COUNTY, CALIFORNIA)
2015 GENERAL OBLIGATION REFUNDING BOND

INTEREST RATE:
__% per annum

MATURITY DATE:
August 1, 20__

DATED DATE:
Delivery Date

CUSIP

REGISTERED OWNER: CEDE & CO.

PRINCIPAL AMOUNT:

The Placentia-Yorba Linda Unified School District (the "District") in Orange County, California (the "County"), for value received, promises to pay to the Registered Owner named above or registered assigns, the Principal Amount on the Maturity Date, each as stated above, and interest thereon until the Principal Amount is paid or provided for at the Interest Rate stated above on February 1 and August 1 of each year (the "Bond Payment Dates"), commencing August 1, 2015. This bond will bear interest from the Bond Payment Date next preceding the date of authentication hereof unless it is authenticated as of a day during the period from the 15th day of the month next preceding any Bond Payment Date to the Bond Payment Date, inclusive, in which event it shall bear interest from such Bond Payment Date, or unless it is authenticated on or before July 15, 2015, in which event it shall bear interest from the Date of Delivery. Principal and interest are payable in lawful money of the United States of America, without deduction for paying agent services, to the person in whose name this bond (or, if applicable, one or more predecessor bonds) is registered (the "Registered Owner") on the Register maintained by the Paying Agent, being U.S. Bank National Association, as Paying Agent (the "Paying Agent"). Principal is payable upon presentation and surrender of this bond at the principal office of the Paying Agent in Los Angeles, California. Interest is payable by check or draft mailed by the Paying Agent on each Bond Payment Date to the Registered Owner of this bond (or one or more predecessor bonds) as shown and at the address appearing on the Register at the close of business on the 15th day of the calendar month next preceding that Bond Payment Date (the "Record Date"). Such interest shall be calculated on the basis of a 360-day year of twelve 30-day months. The Owner of Refunding Bonds in the aggregate principal amount of \$1,000,000 or more may request in writing to the Paying Agent that the Owner be paid interest by wire transfer to the bank and account number on file with the Paying Agent as of the Record Date.

It is certified and recited that all acts and conditions required by the Constitution and laws of the State of California to exist, to occur and to be performed or to have been met precedent to and in the issuing of the bonds in order to make them legal, valid and binding general obligations of the District, have been performed and have been met in regular and due form as required by law; that payment in full for the bonds has been received; that no statutory or constitutional limitation on indebtedness or taxation has been exceeded in issuing the bonds; and that due provision has been made for levying and collecting *ad valorem* property taxes on all of the taxable property within the District in an amount sufficient to pay principal and interest when due, and for levying and collecting such taxes the full faith and credit of the District are hereby pledged.

This bond shall not be valid or obligatory for any purpose and shall not be entitled to any security or benefit under the Bond Resolution (described below) until the Certificate of Authentication below has been signed.

This bond is one of an authorization of bonds issued by the District pursuant to Articles 9 and 11 of Chapter 3 of Part 1 of Division 2 of Title 5 of the Government Code of the State of California (the "Act") for the purpose of refunding certain of the outstanding bonds of the Placentia-Yorba Linda Unified School District designated as its General Obligation Bonds 2008 Election, Series A and to pay all necessary legal, financial, and contingent costs in connection therewith. The bonds are being issued under authority of and pursuant to the Act, the laws of the State of California, and the resolution of the Board of Education of the District adopted on _____, 2015 (the "Bond Resolution"). This bond and the issue of which this bond is one are payable as to both principal and interest from the proceeds of the levy of *ad valorem* taxes on all property subject to such taxes in the District, which taxes are unlimited as to rate or amount. The bonds of this issue are general obligations of the District.

The bonds of this issue are comprised of \$_____ principal amount of Refunding Bonds.

This bond is exchangeable and transferable for bonds of like tenor, maturity and Transfer Amount (as defined in the Bond Resolution) and in authorized denominations at the principal office of the Paying Agent in Los Angeles, California, by the Registered Owner or by a person legally empowered to do so, upon presentation and surrender hereof to the Paying Agent, together with a request for exchange or an assignment signed by the Registered Owner or by a person legally empowered to do so, in a form satisfactory to the Paying Agent, all subject to the terms, limitations and conditions provided in the Bond Resolution. All fees and costs of transfer shall be paid by the transferor. The District and the Paying Agent may deem and treat the Registered Owner as the absolute Owner of this bond for the purpose of receiving payment of or on account of principal or interest and for all other purposes, and neither the District nor the Paying Agent shall be affected by any notice to the contrary.

Neither the District nor the Paying Agent will be required (a) to issue or transfer any bond during a period beginning with the opening of business on the 15th business day next preceding either any Bond Payment Date or any date of selection of bonds to be redeemed and ending with the close of business on the Bond Payment Date or day on which the applicable notice of redemption is given or (b) to transfer any bond which has been selected or called for redemption in whole or in part.

The Refunding Bonds maturing on or before August 1, 20__ are not subject to redemption prior to their fixed maturity dates. The Refunding Bonds maturing on or after August 1, 20__ are subject to redemption on or after August 1, 20__ at the option of the District as a whole or in part, on any date, at the Principal Amount of the Refunding Bonds to be redeemed plus interest accrued thereon to the date fixed for redemption.

The Refunding Bonds maturing on August 1, 20__ are subject to mandatory sinking fund redemption from moneys in the Debt Service Account prior to their stated maturity date, at the Principal Amount thereof, together with accrued interest to the date fixed for redemption, without premium on each August 1, on and after August 1, 20__, in the Principal Amounts as set forth in the following table:

	<u>Redemption Dates</u>	<u>Principal Amounts</u>
	August 1, 20__	
	August 1, 20__	
TOTAL		\$

If less than all of the bonds of any one maturity shall be called for redemption, the particular bonds or portions of bonds of such maturity to be redeemed shall be selected by lot by the District in such manner as the District in its discretion may determine; provided, however, that the portion of any bond to be redeemed shall be in the principal amount of \$5,000 or some multiple thereof. If less than all of the bonds stated to mature on different dates shall be called for redemption, the particular bonds or portions thereof to be redeemed shall be called in any order of maturity selected by the District or, if not so selected, in the inverse order of maturity.

Reference is made to the Bond Resolution for a more complete description of the provisions, among others, with respect to the nature and extent of the security for the bonds of this series, the rights, duties and obligations of the District, the Paying Agent and the Registered Owners, and the terms and conditions upon which the bonds are issued and secured. The Registered Owner of this bond assents, by acceptance hereof, to all of the provisions of the Bond Resolution.

It is certified and recited that all acts and conditions required by the Constitution and laws of the State of California to exist, to occur and to be performed or to have been met precedent to and in the issuing of the bonds in order to make them legal, valid and binding general obligations of the District, have been performed and have been met in regular and due form as required by law; that payment in full for the bonds has been received; that no statutory or constitutional limitation on indebtedness or taxation has been exceeded in issuing the bonds; and that due provision has been made for levying and collecting *ad valorem* property taxes on all of the taxable property within the District in an amount sufficient to pay Principal and interest when due.

This bond shall not be valid or obligatory for any purpose and shall not be entitled to any security or benefit under the Bond Resolution until the Certificate of Authentication below has been signed.

IN WITNESS WHEREOF, the Placentia-Yorba Linda Unified School District, Orange County, California, has caused this bond to be executed on behalf of the District and in their official capacities by the manual or facsimile signature of the President of the Board of Education and to be countersigned by the manual or facsimile signature of the Secretary of the Board of Education, all as of the date stated above.

PLACENTIA-YORBA LINDA UNIFIED SCHOOL DISTRICT

By: _____ [form document] _____
President, Board of Education

COUNTERSIGNED:

By: _____ [form document] _____
Secretary, Board of Education

CERTIFICATE OF AUTHENTICATION

This bond is one of the bonds described in the Bond Resolution referred to herein which has been authenticated and registered on _____, 2015.

_____, as Paying Agent

By _____ [form document] _____

ASSIGNMENT

For value received, the undersigned sells, assigns and transfers to (print or typewrite name, address and zip code of Transferee): _____ this bond and irrevocably constitutes and appoints attorney to transfer this bond on the books for registration thereof, with full power of substitution in the premises.

Dated: _____

Signature Guaranteed:

Notice: The assignor's signature to this assignment must correspond with the name as it appears upon the within bond in every particular, without alteration or any change whatever, and the signature(s) must be guaranteed by an eligible guarantor institution.

Social Security Number, Taxpayer Identification Number or other identifying number of Assignee: _____

Unless this bond is presented by an authorized representative of The Depository Trust Company to the issuer or its agent for registration of transfer, exchange or payment, and any bond issued is registered in the name of Cede & Co. or such other name as requested by an authorized representative of The Depository Trust Company and any payment is made to Cede & Co., ANY TRANSFER, PLEDGE OR OTHER USE HEREOF FOR VALUE OR OTHERWISE BY OR TO ANY PERSON IS WRONGFUL since the registered owner hereof, Cede & Co., has an interest herein.

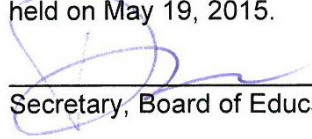
LEGAL OPINION

The following is a true copy of the opinion rendered by Norton Rose Fulbright US LLP in connection with the issuance of, and dated as of the date of the original delivery of, the bonds. A signed copy is on file in my office.

By: _____ (Facsimile Signature)
Secretary to Board of Education

(Form of Legal Opinion)

The Secretary of the Board of Education does hereby certify that the foregoing is a full, true, and correct copy of the Board minutes duly passed and adopted by said Board at the regular meeting held on May 19, 2015.



Secretary, Board of Education

Date: June 24, 2015